Managed Account
Asset Transfer and Investment instructions

Please use **BLACK** pen and **BLOCK** letters.

In this form references to 'I', 'me', 'my' and 'you' apply to each client, and where applicable, to each signatory.

This form supports transfers of cash and assets between your Netwealth account and the Managed Account service. It also allows you to set or amend the Managed Account investment preferences. Please complete the appropriate section(s) as required.

You can manage these transactions using your online Netwealth account at no cost. If you decide to use this form, an offline transaction fee will apply. If you do not have online access to your Netwealth account, please contact your adviser to arrange the transaction or arrange online access using a 'Change of details' form available from our website.

⚠ Before using this form, please read the current disclosure documents for the Managed Account service and Platform Account in which your account is held. The current disclosure documents are available on our website.

Step 1. Provide existing Netwealth account details

The Managed Account is available if you have an existing account in Super Accelerator or Wealth Accelerator ('**Platform Account**').

Client name

Client number

Account number

Step 2. Provide details of cash or other assets to be transferred into your Managed Account (optional)

Only assets you hold in your platform account may be transferred into the specified Model(s) in your Managed Account

Rebalances will not occur until all asset transfers requested are completed. Once transfers are completed, any assets transferred into your Managed Account will be rebalanced based on your existing Model Allocations and nominated investment preferences unless rebalances are suspended at Step 5. You may only transfer allowable assets in the investment strategy for your chosen Managed Models. Netwealth may refuse to accept the transfer of an asset into your Managed Account.

For each asset (including cash) please specify the model to transfer the asset into. (Note: additional assets may be recorded on a separate worksheet and attached to this form.)

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Lock this asset ☐ If you tick this box the entire holding in this asset across all models in your Managed Account will be locked until you instruct us otherwise.

Managed Model name to be invested into

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Lock this asset ☐ If you tick this box the entire holding in this asset across all models in your Managed Account will be locked until you instruct us otherwise.

Managed Model name to be invested into

Managed Model Code

NW-MA-MAI_1125

Step 2. Provide details of cash or other assets to be transferred into your Managed Account (optional) (Continued)

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Lock this asset ☐ If you tick this box the entire holding in this asset across all models in your Managed Account will be locked until you instruct us otherwise.

Managed Model name to be invested into

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Lock this asset ☐ If you tick this box the entire holding in this asset across all models in your Managed Account will be locked until you instruct us otherwise.

Managed Model name to be invested into

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Lock this asset ☐ If you tick this box the entire holding in this asset across all models in your Managed Account will be locked until you instruct us otherwise.

Managed Model name to be invested into

Managed Model Code

NW-MA-MAI_1125

Step 3. Provide your investment preferences (optional)

These investment preferences will apply to all models across your entire Managed Account.

Minimum trade size[1] $ ___ , ___ . 0 0 AND/OR[2] ___ . ___ %[3]

[1] The minimum trade size is $100 unless you specify a higher amount. If a % amount is selected that results in a value lower than $100, the minimum $100 trade size will apply.

[2] If both $ and % are selected, the larger minimum trade size, calculated using the total current value of your Managed Account investment, will apply.

[3] The % is calculated using the total current value of your Managed Account investment.

Minimum holding size[4] $ ___ , ___ . 0 0 AND/OR[5] ___ . ___ %[6]

[4] The minimum holding size is $100 unless you specify a higher amount. If a % amount is selected that results in a value lower than $100, the minimum $100 holding size will apply.

[5] If both $ and % are selected, the larger minimum holding size, calculated using the total current value of your Managed Account investment, will apply.

[6] The % is calculated using the total current value of your Managed Account investment.

Asset Customisation

ASX code, APIR code or ISIN

Name of security or fund

Only tick one box:

☐ Do not hold this asset.

☐ Substitute this asset where it is part of a Managed Model and hold the following asset in its place:

ASX code, APIR code or ISIN

Name of security or fund

Asset Customisation

ASX code, APIR code or ISIN

Name of security or fund

Only tick one box:

☐ Do not hold this asset.

☐ Substitute this asset where it is part of a Managed Model and hold the following asset in its place:

ASX code, APIR code or ISIN

Name of security or fund

Asset Customisation

ASX code, APIR code or ISIN

Name of security or fund

Only tick one box:

☐ Do not hold this asset.

☐ Substitute this asset where it is part of a Managed Model and hold the following asset in its place:

ASX code, APIR code or ISIN

Name of security or fund

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Step 4. Provide details of cash or other assets to be transferred from your Managed Account to the platform (optional)

Only tick one box:

☐ I wish to transfer all assets held in my Managed Account into my Netwealth Platform Account.

☐ I wish to transfer only the specific assets listed below. (Note: additional assets may be recorded on a separate worksheet and attached to this form.)

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding OR units/shares

Managed Model name to transfer from

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding OR units/shares

Managed Model name to transfer from

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding OR units/shares

Managed Model name to transfer from

Managed Model Code

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding OR units/shares

Managed Model name to transfer from

Managed Model Code

Step 4. Provide details of cash or other assets to be transferred from your Managed Account to the platform (optional) (Continued)

Asset

Cash, ASX code, APIR code or ISIN

Name of security or fund

Amounts to be transferred ☐ Entire holding **OR** units/shares

Managed Model name to transfer from

Managed Model Code

Step 5. Provide your rebalance instruction (optional)

☐ Suspend rebalances in my Managed Account until further notice.

This rebalance instruction will apply to all assets and models held in your Managed Account.

If you provide an instruction to transfer assets into your Managed Account at Step 2, rebalances in your Managed Account will be suspended until all asset transfers on this form have been completed.

Step 6. Read and sign this client declaration (where this form is completed by an Adviser Representative on the account, go to Step 7)

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:

1. I have received, read and understood and agree to be bound by the terms and conditions set out in the Product Disclosure Statement **('PDS')** and any Supplementary Disclosure Document for the Managed Account current as at the date I sign this form;
2. I acknowledge that this transaction is governed by, and I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant disclosure documents for my Platform Account current as at the date I sign this form;
3. I acknowledge that if I suspend rebalances or apply investment preferences to my Managed Account, the differences between the asset holdings and performance of my Managed Account from those of my chosen Managed Models may be significantly increased;
4. I acknowledge that assets transferred into my managed account will (unless I have suspended rebalances, and subject to any investment preferences I have nominated) be included in rebalances and may be traded as described in the PDS;
5. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this application and/or my investments held through the Managed Account.
6. I acknowledge that Netwealth may, in its absolute discretion, accept an instruction from me to invest an amount that is below the minimum investment amount for my chosen Managed Models. I further acknowledge that it is likely that by making an investment that is less than the minimum investment amount, the differences between the asset holdings and performance of my Managed Account from those of my chosen Managed Models may be significantly increased.

For **Super Accelerator** this form is to be signed by the member.

Member

Signature

Date D D / M M / Y Y

For **Wealth Accelerator** this form is to be signed by all signatories to the account. For joint accounts all account holders must sign.

Account signatory one

Position/title ☐ Individual ☐ Director ☐ Sole director & sole company secretary

☐ Other

Signature

Date D D / M M / Y Y

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Step 6. Read and sign this client declaration (Continued) (where this form is completed by an Adviser Representative on the account, go to Step 7)

Account signatory two (if applicable)

Position/title ☐ Individual ☐ Director ☐ Company secretary
☐ Other

Signature

Date D D / M M / Y Y

If you require more than 2 signatories, please copy this page.

It is recommended that you retain a copy of the completed form for your own record.

Step 7. Read and sign this adviser declaration (to be completed by an Adviser Representative providing instruction on behalf of the client)

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:

1. I am the nominated Adviser Representative for this client for the account specified in Step 1 and that my appointment has not been revoked;
2. The client has authorised me to submit this instruction as the client's agent;
3. I acknowledge that this transaction is governed by the terms and conditions for such transactions as set out in the PDS for the Managed Account and in the relevant disclosure documents for the Platform Account current as at the date I sign this form;
4. I acknowledge that by suspending rebalances or applying investment preferences to this client's Managed Account, the differences between the asset holdings and performance of the Managed Account from those of this client's chosen Managed Models may be significantly increased.
5. I acknowledge that Netwealth may, in its absolute discretion, accept an instruction from me to invest an amount that is below the minimum investment amount for the client's chosen Managed Models. I further acknowledge that it is likely that by making an investment that is less than the minimum investment amount, the differences between the asset holdings and performance of the client's Managed Account from those of the client's chosen Managed Models may be significantly increased.

You should give your client the current disclosure documents for the product in which this account is held.

Adviser Representative

Signature

Date D D / M M / Y Y

Step 8. Once complete please send to us

Send to us: contact@netwealth.com.au | Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205

Activities & Tasks > Document Upload

For more information: netwealth.com.au | 1800 888 223 | Your adviser

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