

Superannuation

Trustee investment limits

Diversification acknowledgment form

Please use **BLACK** pen and **BLOCK** letters.

Use this form if you want to retain investments in your Netwealth superannuation account/s that exceed the Trustee's investment limits.

Trustee Investment Limits

Netwealth (as Trustee of the Netwealth Superannuation Master Fund ABN 94 573 747 704) applies investment limits to members' accounts. The limits seek to protect members' interests and manage investment risk by facilitating investment diversification and limiting concentration of investments in certain assets.

The applicable version of Information Guide 1 (available via our website) sets out these investment options together with the applicable investment limits and associated risks.

We understand there may be reasons for members wanting to retain particular investment exposures that exceed the investment limits. If you determine your investment allocations are appropriate in your circumstances, you can complete this form to retain your investments.

IMPORTANT: By completing this form you will retain your current investment allocations, and your account/s will be exempt from the Trustee's biannual investment limit monitoring process for a period of three years. However, you cannot acquire additional investments or participate in corporate actions that exceed the investment limits. We encourage you to review your investment allocations carefully and seek professional financial advice.

Step 1. Provide member details

Client name																														
Client number							Account number																							
Client number (where applicable)							Account number																							
Client number (where applicable)							Account number																							
Client number (where applicable)							Account number																							

 A duplicate of this page or a separate signed instruction can be used if you have more than four Netwealth superannuation accounts.

Step 2. Read and sign this client declaration (where this form is completed by an Adviser Representative on the account, go to Step 3)

By submitting this request for exemption, I represent to Netwealth that all the details in this request are true and correct and I declare that:

1. I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant Product Disclosure Statement for the Netwealth Superannuation Master Fund current as at the date I sign this form;
2. I have reviewed the investment allocations within my account/s and have determined they remain appropriate for my circumstances;
3. I understand and acknowledge the risks of maintaining investment exposures that exceed the Trustee's investment limits;
4. I understand and acknowledge my Netwealth superannuation account/s will be exempt from the Trustee's biannual investment limit monitoring process and Netwealth will not notify me or my Adviser Representative of investments in my account/s that exceed the investment limits for a period of three years; and
5. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this request and/or my investments held through the Netwealth Superannuation Master Fund.

Member full name																														
Signature																									Date	DD	/	MM	/	YY

It is recommended you retain a copy of the completed form for your own records

Step 3. Read and sign this adviser declaration (To be completed by an Adviser Representative making request on behalf of their client)

By submitting this request for exemption on my client's behalf, I represent to Netwealth that all the details in this request are true and correct and I declare that:

1. I acknowledge that this request is governed by the terms and conditions for such transactions as set out in the relevant Product Disclosure Statement for the Netwealth Superannuation Master Fund current as at the date I sign this form;
2. I have reviewed the current investment allocations within this client's Netwealth superannuation account/s and the allocations remain appropriate having regard to their personal financial circumstances;
3. The client understands and acknowledges the risks of maintaining investment exposures that exceed the Trustee's investment limits;
4. I understand and acknowledge the client's account/s will be exempt from the Trustee's biannual investment limit monitoring process and Netwealth will not notify me or the client of investments that exceed the investment limits for a period of three years; and
5. I am the nominated Adviser Representative for this client, that my appointment has not been revoked and the client has authorised me to submit this instruction as the client's agent.

 You should give your client the current Product Disclosure Statement for the product in which this account is held.

Adviser Representative

[illegible]

Signature

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Date / /

Step 4. Once complete please send to us via our secure online document upload facility

Upload via your online account:  [Activities & Tasks > Document Upload](#)

For more information: netwealth.com.au

 1800 888 223

 Your adviser