



## Wrap Services Withdrawal

### Step 4. Nominate the treatment of the in specie transfer (only applicable if you have selected full or partial in specie in Step 2)

I would like this in specie transfer treated as:  A Change of Beneficial Ownership<sup>2</sup> (i.e. a CGT event)  
 No Change of Beneficial Ownership (i.e. not a CGT event)

**!** Transfers between Netwealth Wealth Accelerator to Netwealth Super Accelerator will always be completed as a change of beneficial ownership.

<sup>2</sup> A Change of Beneficial Ownership will be reported in the Annual Taxation Statement that Netwealth will send you after the end of the financial year.

### Step 5. Cash/in specie withdrawal to a Netwealth account

#### Transfer to a Netwealth account - your cash and/or in specie withdrawal will be paid to the Netwealth account you nominate

Transfer to a new Netwealth account (please include a completed application with this form).  
**OR**  Transfer to the following existing Netwealth account.

Client name   
  
Client number  Account number

For contributions to a Netwealth Superannuation account please provide contribution details.

- Personal **non-concessional** contribution.  
(Should you intend to claim a tax-deduction on some or all of this contribution, it can be requested online under Super Transactions > Tax Deduction.)
- Spouse **non-concessional** contribution.  
(The receiving member is unable to claim a tax deduction on this contribution type; the contributing spouse may be eligible for a tax-offset)
- Temporary contribution pending a Rollover Benefit Statement (RBS).  
(Applicable to internal rollovers from SMSF only. An RBS must be provided.)

**!** All funds, including SMSFs, must provide us with an RBS for cash rollovers electronically via SuperStream within 3 business days of the funds being transferred. Trustees of SMSFs should make preparations prior to submitting this form to ensure compliance with this obligation.

### Step 6. In specie withdrawal to an external account

**!** Where this option is selected the signatories of the account in Step 1 will be required to complete the declaration in Step 9. Netwealth will not be able to proceed on adviser instruction.

Any cash withdrawal which forms part of this instruction will be paid to the bank account listed in Step 3.

To be directly held by you or related entity (SMSF/Trust/Company)

Name/Trustee name   
Designation/Trust name   
Address   
  
Suburb/town   
State  Postcode  Country (if not Australia)   
Issuer Sponsored HIN

To another institution (platform/broker/nominee)

Institution name   
Account name   
Account number/HIN/PID   
Contact number   
Contact name

Netwealth will apply any relevant tax and charges to your account prior to processing any closure or cash withdrawal requested.

**Step 7. Nominate investments to redeem**

If you have nominated a full cash withdrawal, you do not need to nominate investments to redeem as we will take this instruction to redeem all your investments. If you have nominated a partial cash withdrawal, you will only need to nominate investments to redeem if you don't have sufficient available funds in your cash account to cover the withdrawal and have not already placed redemptions online.

Money received on redemption will be paid into your cash account and the withdrawal will be made once sufficient funds are available.

**Redeem individual managed funds, Managed Models and other investments**

The minimum managed fund redemption is \$100 per managed fund (or less if all units are to be redeemed).

The minimum Managed Model redemption is \$1,000 per Managed Model (subject to you retaining the minimum investment amount in the model).

Orders to redeem listed securities will be placed at market price through our default platform broker and brokerage fees will apply.

Fund/Model/Security name	<input type="text"/>																											
Code <sup>3</sup>	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Redeem all	<input type="text"/>		
Fund/Model/Security name	<input type="text"/>																											
Code <sup>3</sup>	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Redeem all	<input type="text"/>		
Fund/Model/Security name	<input type="text"/>																											
Code <sup>3</sup>	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Redeem all	<input type="text"/>		
Fund/Model/Security name	<input type="text"/>																											
Code <sup>3</sup>	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Redeem all	<input type="text"/>		
Fund/Model/Security name	<input type="text"/>																											
Code <sup>3</sup>	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Redeem all	<input type="text"/>		

**⚠** A duplicate of this page or a separate signed instruction can be used if additional space is required. Alternatively, an excel document outlining the required assets to sell will be accepted.

OR

**Pro-rata redemption instruction**

Please redeem \$  proportionally across my portfolio excluding cash.

Please ensure you redeem a sufficient amount to meet minimum cash requirements and the amount of your withdrawal.

<sup>3</sup> APIR code for a managed fund, the Netwealth code for a Managed Model in the Investment Menu or ASX code or International EPIC/Ticker code.

**Step 8. Nominate investments to in specie transfer**

If you have nominated a full in specie withdrawal, you do not need to nominate the investments you would like transferred. We will take this instruction to transfer all investments, unless you have selected to make redemptions in Step 7. Investments held within a Managed Account must be transferred into your Netwealth platform account using the 'Managed Account Asset transfer instruction' form prior to requesting an in specie transfer below.

**Managed funds/listed securities or other investments (excluding securities held in your managed account)**

Fund name	<input type="text"/>																											
APIR Code/ASX Code	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Transfer all	<input type="text"/>		
Fund name	<input type="text"/>																											
APIR Code/ASX Code	<input type="text"/>								Amount	<input type="text"/>	Units	<input type="text"/>	<input type="text"/>												OR Transfer all	<input type="text"/>		

**Step 8. Nominate investments to in specie transfer** (Continued)

Fund name	<input type="text"/>																													
APIR Code/ASX Code	<input type="text"/>	Amount <input type="checkbox"/>	Units <input type="checkbox"/>	<input type="text"/>	OR Transfer all <input type="checkbox"/>																									
Fund name	<input type="text"/>																													
APIR Code/ASX Code	<input type="text"/>	Amount <input type="checkbox"/>	Units <input type="checkbox"/>	<input type="text"/>	OR Transfer all <input type="checkbox"/>																									
Fund name	<input type="text"/>																													
APIR Code/ASX Code	<input type="text"/>	Amount <input type="checkbox"/>	Units <input type="checkbox"/>	<input type="text"/>	OR Transfer all <input type="checkbox"/>																									
Fund name	<input type="text"/>																													
APIR Code/ASX Code	<input type="text"/>	Amount <input type="checkbox"/>	Units <input type="checkbox"/>	<input type="text"/>	OR Transfer all <input type="checkbox"/>																									

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**Step 9. Read and sign this client declaration** (where this form is completed by an Adviser Representative on the account, go to Step 10)

**!** The signatories for the account in Step 1 must sign this form where:

- New nominated or 'one-off' bank account details are provided in Step 3,
- You have selected to transfer to a Netwealth account at Step 5 that has different signatories to the account in Step 1,
- The instruction requires a transfer of assets off the Netwealth platform in Step 6.

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:

1. I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant disclosure documents that are current as at the date I sign this form;
2. I understand that delays can be experienced in the transfer of investments and the redemption and sale of investments outside of Netwealth's control and confirm that Netwealth is not liable for any loss I may incur as a result of any delays; and
3. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this transaction and/or my investments held through the Wrap Services.

**Account signatory one full name**

Position/title  Individual  Director  Sole director & sole company secretary  Other

Signature

Date  /  /

**Account signatory two (if applicable)**

Position/title  Individual  Director  Company secretary  Other

Signature

Date  /  /

Companies may sign in accordance with their constitution and the law. If you require more than 2 signatories, please copy this page.

It is recommended that you retain a copy of the completed form for your own records.

If you have a linked insurance policy using our LifeWRAP facility and are making a full withdrawal, the payment of your premiums will cease. To avoid your policy ceasing, alternative arrangements should be made directly with the insurer.

