

Approved international securities - Hong Kong Hong Kong Stock Exchange

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Costs of trading on the Hong Kong Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the Hong Kong Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
HKE	Hong Kong	Stamp Duty 0.10% rounded up to nearest HKD1.00 Trading Fee 0.00565% Transaction Levy 0.0027% FRC Transaction Levy 0.00015% Italian Financial Transaction Tax HK 0.10% (Italian registered stocks)

These costs known to Netwealth as at 05th February 2024. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

Trading Lots on the Hong Kong Stock Exchange

On the Hong Kong Stock Exchange, trades are executed in lot sizes. Where some exchanges have one set number, the HKE trading lot sizes for each listed security is determined by the issuer. These lot sizes are noted below as per the Hong Kong Exchange's released information. Orders that are submitted for amounts outside the official trading lot sizes ("odd lots") may be subject to alternative trading arrangements and may not have the same liquidity and pricing.

Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at www.netwealth.com.au or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

Approved Securities

Security Name	Ticker	Super investment limit	Trading Lot Sizes
Agricultural Bank of China Limited Class H	1288	10%	1,288
AIA Group Limited	1299	10%	1,299
Air China Limited Class H	753	10%	753
Alibaba Group Holding Limited	9988	10%	9,988
Anhui Conch Cement Company Limited Class H	914	10%	914
ANTA Sports Products Ltd.	2020	10%	2,020
Baidu, Inc. Class A	9888	10%	9,888
Bank of China Limited Class H	3988	10%	3,988
Bank of Communications Co., Ltd. Class H	3328	10%	3,328
BeiGene Ltd	6160	10%	6,160
BOC Hong Kong (Holdings) Limited	2388	10%	2,388
Budweiser Brewing Co. APAC Ltd.	1876	10%	1,876
BYD Company Limited Class H	1211	10%	1,211
CGN Power Co., Ltd. Class H	1816	10%	1,816
China CITIC Bank Corporation Ltd Class H	998	10%	998
China Coal Energy Co. Ltd. Class H	1898	10%	1,898
China Communications Construction Co. Ltd. Class H	1800	10%	1,800
China Construction Bank Corporation Class H	939	10%	939
China Everbright Bank Co., Ltd. Class H	6818	10%	6,818
China Galaxy Securities Co., Ltd. Class H	6881	10%	6,881
China International Capital Corp. Ltd. Class H	3908	10%	3,908
China Life Insurance Co. Ltd. Class H	2628	10%	2,628
China Longyuan Power Group Corporation Ltd Class H	916	10%	916
China Merchants Bank Co., Ltd. Class H	3968	10%	3,968
China Merchants Securities Co., Ltd. Class H	6099	10%	6,099
China Minsheng Banking Corp., Ltd. Class H	1988	10%	1,988
China Mobile Limited	941	10%	941
China Overseas Land & Investment Limited	688	10%	688
China Pacific Insurance (Group) Co., Ltd. Class H	2601	10%	2,601
China Petroleum & Chemical Corporation Class H	386	10%	386
China Railway Construction Corporation Limited Class H	1186	10%	1,186
China Railway Group Limited Class H	390	10%	390
China Resources Land Limited	1109	10%	1,109
China Shenhua Energy Co. Ltd. Class H	1088	10%	1,088
China Southern Airlines Company Limited Class H	1055	10%	1,055
China Telecom Corp. Ltd. Class H	728	10%	728
China Tourism Group Duty Free Corporation Limited Class H	1880	10%	1,880

Security Name	Ticker	Super investment limit	Trading Lot Sizes
China Tower Corp. Ltd. Class H	788	10%	788
China Unicom (Hong Kong) Limited	762	10%	762
China Vanke Co., Ltd Class H	2202	10%	2,202
Chow Tai Fook Jewellery Group Limited	1929	10%	1,929
CITIC Limited	267	10%	267
CITIC Securities Co. Ltd. Class H	6030	10%	6,030
CK Asset Holdings Limited	1113	10%	1,113
CK Hutchison Holdings Ltd	1	10%	1
CK Infrastructure Holdings Limited	1038	10%	1,038
CLP Holdings Limited	2	10%	2
CMOC Group Limited Class H	3993	10%	3,993
CNOOC Limited	883	10%	883
COSCO SHIPPING Holdings Co., Ltd. Class H	1919	10%	1,919
CRRC Corporation Limited Class H	1766	10%	1,766
CSC Financial Co., Ltd. Class H	6066	10%	6,066
Fuyao Glass Industry Group Co., Ltd. Class H	3606	10%	3,606
Galaxy Entertainment Group Limited	27	10%	27
GF Securities Co., Ltd. Class H	1776	10%	1,776
Great Wall Motor Co., Ltd. Class H	2333	10%	2,333
Guotai Junan Securities Co., Ltd. Class H	2611	10%	2,611
Haier Smart Home Co., Ltd. Class H	6690	10%	6,690
Haitong Securities Co., Ltd. Class H	6837	10%	6,837
Hang Seng Bank, Limited	11	10%	11
Henderson Land Development Co. Ltd.	12	10%	12
Hong Kong & China Gas Co. Ltd.	3	10%	3
Hong Kong Exchanges & Clearing Ltd.	388	10%	388
Huaneng Power International, Inc. Class H	902	10%	902
Huatai Securities Co., Ltd. Class H	6886	10%	6,886
Industrial and Commercial Bank of China Limited Class H	1398	10%	1,398
J&T Global Express Limited	1519	10%	#N/A
JD.com, Inc. Class A	9618	10%	9,618
KE Holdings, Inc. Class A	2423	10%	2,423
Kuaishou Technology Class B	1024	10%	1,024
Lenovo Group Limited	992	10%	992
Li Auto, Inc. Class A	2015	10%	2,015
Link Real Estate Investment Trust	823	10%	823
Meituan Class B	3690	10%	3,690
MTR Corporation Limited	66	10%	66
Netease Inc	9999	10%	9,999

Security Name	Ticker	Super investment limit	Trading Lot Sizes
Nongfu Spring Co., Ltd. Class H	9633	10%	9,633
People's Insurance Co. (Group) of China Ltd. Class H	1339	10%	1,339
PetroChina Company Limited Class H	857	10%	857
PICC Property & Casualty Co., Ltd. Class H	2328	10%	2,328
Ping An Insurance (Group) Company of China, Ltd. Class H	2318	10%	2,318
Postal Savings Bank of China Co., Ltd. Class H	1658	10%	1,658
Prada S.p.A.	1913	10%	1,913
Sands China Ltd.	1928	10%	1,928
Semiconductor Manufacturing International Corp.	981	10%	981
Shenwan Hongyuan Group Co., Ltd. Class H	6806	10%	6,806
Shenzhou International Group Holdings Limited	2313	10%	2,313
Sun Hung Kai Properties Limited	16	10%	16
Techtronic Industries Co., Ltd.	669	10%	669
Tencent Holdings Ltd.	700	10%	700
Tencent Music Entertainment Group Class A	1698	10%	1,698
Trip.com Group Ltd.	9961	10%	9,961
Weichai Power Co., Ltd. Class H	2338	10%	2,338
WuXi AppTec Co., Ltd. Class H	2359	10%	2,359
Wuxi Biologics (Cayman) Inc.	2269	10%	2,269
Xiaomi Corp. Class B	1810	10%	1,810
Yankuang Energy Group Company Limited Class H	1171	10%	1,171
Zijin Mining Group Co., Ltd. Class H	2899	10%	2,899
ZTE Corporation Class H	763	10%	763
ZTO Express (Cayman), Inc. Class A	2057	10%	2,057