

Approved international securities - Hong Kong Hong Kong Stock Exchange

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Costs of trading on the Hong Kong Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the Hong Kong Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
HKE	Hong Kong	Stamp duty of 0.10% rounded up to nearest HKD1.00 Transaction levy of 0.0027% Trading fee of 0.005%

These costs known to Netwealth as at 10th August 2021. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

Trading Lots on the Hong Kong Stock Exchange

On the Hong Kong Stock Exchange, trades are executed in lot sizes. Where some exchanges have one set number, the HKE trading lot sizes for each listed security is determined by the issuer. These lot sizes are noted below as per the Hong Kong Exchange's released information.

Orders that are submitted for amounts outside the official trading lot sizes ("odd lots") may be subject to alternative trading arrangements and may not have the same liquidity and pricing.

Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at www.netwealth.com.au or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

Approved Securities

Security Name	Ticker	Super investment limit	Trading Lot Sizes
AAC Technologies Holdings Inc	2018	10%	500
AIA Group Ltd	1299	10%	200
Alibaba Group Holding Ltd Ordinary Shares	9988	10%	100
Alibaba Health Information Technology Ltd	241	10%	2000
ANTA Sports Products Ltd	2020	10%	1,000
Bank Of China Ltd Class H	3988	10%	1,000
Bank of Communications Co Ltd Class H	3328	10%	1,000
BOC Hong Kong Holdings Ltd	2388	10%	500
Budweiser Brewing Co APAC Ltd	1876	10%	100
BYD Co Ltd Class H	1211	10%	500
China Construction Bank Corp Class H	939	10%	1,000
China Life Insurance Co Ltd Class H	2628	10%	1,000
China Mengniu Dairy Co Ltd	2319	10%	1,000
China Mobile Ltd	941	10%	500
China Overseas Land & Investment Ltd	688	10%	500
China Petroleum & Chemical Corp Class H	386	10%	2,000
China Resources Land Ltd	1109	10%	2,000
China Unicom (Hong Kong) Ltd	762	10%	2,000
CITIC Ltd	267	10%	1,000
CK Asset Holdings Ltd	1113	10%	500
CK Hutchison Holdings Ltd	1	10%	500
CK Infrastructure Holdings Ltd	1038	10%	500
CLP Holdings Ltd	2	10%	500
CNOOC Ltd	883	10%	1,000
Country Garden Holdings Co Ltd	2007	10%	1,000
Country Garden Services Holdings Co Ltd	6098	10%	1000
CSPC Pharmaceutical Group Ltd	1093	10%	2,000
Galaxy Entertainment Group Ltd	27	10%	1,000
Geely Automobile Holdings Ltd	175	10%	1,000

SecurityName	Ticker	Super investment limit	Trading Lot Sizes
Haidilao International Holding Ltd	6862	10%	1000
Hang Lung Properties Ltd	101	10%	1,000
Hang Seng Bank Ltd	11	10%	100
Henderson Land Development Co Ltd	12	10%	1,000
Hengan International Group Co Ltd	1044	10%	500
Hong Kong and China Gas Co Ltd	3	10%	1,000
Hong Kong Exchanges and Clearing Ltd	388	10%	100
Industrial And Commercial Bank Of China Ltd Class H	1398	10%	1,000
iShares Asia Trust - iShares NASDAQ 100 Index ETF	9834	20%	100
iShares Core MSCI AC Asia ex Japan Index ETF	3010	20%	100
Link Real Estate Investment Trust	823	10%	100
Longfor Group Holdings Ltd	960	10%	500
Meituan	3690	10%	100
MTR Corp Ltd	66	10%	500
New World Development Co Ltd	17	10%	1,000
PetroChina Co Ltd Class H	857	10%	2,000
Ping An Insurance (Group) Co. of China Ltd Class H	2318	10%	500
Power Assets Holdings Ltd	6	10%	500
Sands China Ltd	1928	10%	400
Shenzhou International Group Holdings Ltd	2313	10%	100
Sino Biopharmaceutical Ltd	1177	10%	1,000
Sun Hung Kai Properties Ltd	16	10%	500
Sunny Optical Technology (Group) Co Ltd	2382	10%	100
Techtronic Industries Co Ltd	669	10%	500
Tencent Holdings Ltd	700	10%	100
WH Group Ltd	288	10%	500
Wharf Real Estate Investment Co Ltd Ordinary Shares	1997	10%	1,000
Xiaomi Corp Ordinary Shares - Class B	1810	10%	200
Xinyi Solar Holdings Ltd	968	10%	2000