

# Approved international securities - USA NASDAQ Stock Exchange

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August 2021

## Costs of trading on the NASDAQ Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the NASDAQ Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
NASDAQ	USA	Securities & Exchange Commission (SEC) fee 0.00051% (sell only)

These costs known to Netwealth as at 10<sup>th</sup> August 2021. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

## Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at [www.netwealth.com.au](http://www.netwealth.com.au) or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

## Approved Securities

Security Name	Ticker	Super investment limit
Activision Blizzard, Inc	ATVI	10%
Adobe Systems Incorporated	ADBE	10%
Advanced Micro Devices, Inc.	AMD	10%
Airbnb Inc. Class A Common Stock	ABNB	10%
Align Technology, Inc.	ALGN	10%
Alphabet Inc.	GOOG	10%
Alphabet Inc.	GOOGL	10%
Amazon.com, Inc.	AMZN	10%
American Electric Power Company Inc. Common Stock	AEP	10%
Amgen Inc.	AMGN	10%
Analog Devices, Inc.	ADI	10%
Apple Inc.	AAPL	10%
Applied Materials, Inc.	AMAT	10%
ASML Holding N.V. New York Registry Shares	ASML	10%
AstraZeneca PLC American Depositary Shares	AZN	10%
Atlassian Corporation Plc	TEAM	10%
Autodesk, Inc.	ADSK	10%
Automatic Data Processing, Inc.	ADP	10%
Baidu, Inc.	BIDU	10%
Bilibili Inc. American Depositary Shares	BILI	10%
Biogen Inc.	BIIB	10%
BioNTech SE American Depositary Share	BNTX	10%
Booking Holdings Inc.	BKNG	10%
Broadcom Inc.	AVGO	10%
Cadence Design Systems, Inc.	CDNS	10%
Charter Communications, Inc.	CHTR	10%
Cintas Corporation	CTAS	10%
Cisco Systems, Inc.	CSCO	10%

Security Name	Ticker	Super investment limit
CME Group Inc.	CME	10%
Cognizant Technology Solutions Corporation	CTSH	10%
Coinbase Global Inc. Class A Common Stock	COIN	10%
Comcast Corporation	CMCSA	10%
Copart Inc. (DE) Common Stock	CPRT	10%
CoStar Group, Inc.	CSGP	10%
Costco Wholesale Corporation	COST	10%
CrowdStrike Holdings Inc. Class A Common Stock	CRWD	10%
CSX Corporation	CSX	10%
DEXCOM INC	DXCM	10%
DocuSign Inc. Common Stock	DOCU	10%
eBay Inc.	EBAY	10%
Electronic Arts Inc.	EA	10%
Equinix Inc. Common Stock REIT	EQIX	10%
Ericsson American Depositary Shares	ERIC	10%
EXELON CORP	EXC	10%
Facebook, Inc.	FB	10%
Fiserv, Inc.	FISV	10%
Fortinet Inc. Common Stock	FTNT	10%
Gilead Sciences, Inc.	GILD	10%
Honeywell International Inc.	HON	10%
IDEXX Laboratories, Inc.	IDXX	10%
Illumina, Inc.	ILMN	10%
Intel Corporation	INTC	10%
Intuit Inc.	INTU	10%
Intuitive Surgical, Inc.	ISRG	10%
iShares 20+ Year Treasury Bond ETF	TLT	20%
iShares Global Clean Energy ETF	ICLN	10%
iShares MSCI Europe Financials ETF	EUFN	20%
iShares Short Treasury Bond ETF	SHV	10%
JD.com, Inc.	JD	10%

Security Name	Ticker	Super investment limit
Keurig Dr Pepper Inc. Common Stock	KDP	10%
KLA Corporation	KLAC	10%
Lam Research Corporation	LRCX	10%
lululemon athletica inc.	LULU	10%
Marriott International	MAR	10%
Marvell Technology Group Ltd. Common Stock	MRVL	10%
Match Group, Inc.	MTCH	10%
MercadoLibre, Inc.	MELI	10%
Microchip Technology Incorporated	MCHP	10%
Micron Technology, Inc.	MU	10%
Microsoft Corporation	MSFT	10%
Moderna Inc. Common Stock	MRNA	10%
Mondelez International, Inc.	MDLZ	10%
Monster Beverage Corporation	MNST	10%
NETEASE INC	NTES	10%
Netflix, Inc.	NFLX	10%
NVIDIA Corporation	NVDA	10%
NXP Semiconductors N.V.	NXPI	10%
Okta Inc. Class A Common Stock	OKTA	10%
O'Reilly Automotive Inc. Common Stock	ORLY	10%
Paychex Inc. Common Stock	PAYX	10%
PayPal Holdings, Inc.	PYPL	10%
Peloton Interactive Inc. Class A Common Stock	PTON	10%
Pepsico, Inc.	PEP	10%
PINDUODUO INC SPON ADS EACH REP 4 ORD SHS DR	PDD	10%
QUALCOMM Incorporated	QCOM	10%
Regeneron Pharmaceuticals, Inc.	REGN	10%
Roku Inc. Class A Common Stock	ROKU	10%
Ross Stores, Inc.	ROST	10%
Sanofi ADR	SNY	10%
SBA Communications Corporation Class A	SBAC	10%

Security Name	Ticker	Super investment limit
Starbucks Corporation	SBUX	10%
SYNOPSIS INC	SNPS	10%
T. Rowe Price Group, Inc.	TROW	10%
Tesla, Inc.	TSLA	10%
Texas Instruments Incorporated	TXN	10%
The Kraft Heinz Company	KHC	10%
The Trade Desk Inc. Class A Common Stock	TTD	10%
T-Mobile US, Inc.	TMUS	10%
Vanguard Intermediate-Term Corporate Bond ETF	VCIT	10%
Vanguard Short-Term Corporate Bond ETF	VCSH	10%
Vanguard Total Bond Market ETF	BND	10%
Vertex Pharmaceuticals Incorporated	VRTX	10%
Vodafone Group Plc American Depositary Shares	VOD	10%
Walgreens Boots Alliance, Inc.	WBA	10%
Workday, Inc.	WDAY	10%
Xcel Energy Inc.	XEL	10%
Zoom Video Communications, Inc.	ZM	10%