

Approved international securities - USA New York Stock Exchange

netwealth Investments Limited

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Costs of trading on the New York Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the New York Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
NYSE	USA	Securities & Exchange Commission (SEC) fee 0.00051% (sell only)

These costs known to Netwealth as at 10th August 2021. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at www.netwealth.com.au or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

Approved Securities

Security Name	Ticker	Super investment limit
3M Company	MMM	10%
Abbott Laboratories	ABT	10%
Abbvie Inc.	ABBV	10%
Accenture Plc	ACN	10%
Alibaba Group Holding Limited	BABA	10%
Altria Group	MO	10%
American Express Company	AXP	10%
American Tower Corporation (Reit) Common Stock	AMT	10%
Anthem Inc	ANTM	10%
At&T Inc.	T	10%
Bank Of America Corporation	BAC	10%
Blackrock, Inc.	BLK	10%
Boeing Company (The)	BA	10%
Bristol-Myers Squibb Company	BMJ	10%
British American Tobacco Industries	BTI	10%
Brookfield Asset Management Inc.	BAM	10%
Caterpillar, Inc.	CAT	10%
Chevron Corporation	CVX	10%
Cigna Holding Company	CI	10%
Citigroup Inc.	C	10%
Coca-Cola Company (The)	KO	10%
Consumer Discret Sel Sect Spdr Etf	XLY	10%
Consumer Staples Select Sector Spdr Etf	XLP	10%
Crown Castle International Corp. (Reit) Common Stock	CCI	10%
CVS Health Corporation	CVS	10%
Danaher Corporation	DHR	10%
Deere & Company Common Stock	DE	10%
Diageo plc Common Stock	DEO	10%

Security Name	Ticker	Super investment limit
Duke Energy Corporation	DUK	10%
Eli Lilly and Company	LLY	10%
Enbridge Inc Common Stock	ENB	10%
Energy Select Sector SPDR ETF	XLE	20%
Estee Lauder Companies, Inc. (The)	EL	10%
Exxon Mobil Corporation	XOM	10%
FedEx Corporation	FDX	10%
FIDELITY NATL INFORMATION SERVICES	FIS	10%
Financial Select Sector SPDR Fund ETF	XLF	10%
General Electric Company	GE	10%
General Motors Company Common Stock	GM	10%
GlaxoSmithKline PLC Common Stock	GSK	10%
Goldman Sachs Group, Inc. (The)	GS	10%
HCA Healthcare Inc.	HCA	10%
HDFC Bank Limited	HDB	10%
Health Care Select Sector SPDR ETF	XLV	10%
Home Depot, Inc. (The)	HD	10%
Infosys Limited American Depositary Shares	INFY	10%
International Business Machines Corporation	IBM	10%
iShares 10-20 Year Treasury Bond ETF	TLH	10%
iShares 7-10 Year Treasury Bond ETF	IEF	10%
iShares China Large-Cap ETF	FXI	10%
iShares Core S&P Mid-Cap ETF	IJH	50%
iShares Europe ETF	IEV	20%
iShares Global Tech ETF	IXN	10%
iShares Global Telecom ETF	IXP	10%
iShares Interest Rate Hedged Long-Term Corp Bd	IGBH	10%
iShares MSCI BRIC ETF	BKF	10%
iShares MSCI Hong Kong ETF	EWH	10%
iShares MSCI Singapore Capped ETF	EWS	10%
iShares Russell 1000 Growth ETF	IWF	10%

Security Name	Ticker	Super investment limit
iShares Russell 1000 Value ETF	IWD	10%
iShares Russell 2000 ETF	IWM	10%
iShares Treasury Floating Rate Bond ETF	TFLO	20%
iShares US Preferred Stock ETF	PFF	10%
J P Morgan Chase & Co	JPM	10%
Johnson & Johnson	JNJ	10%
Linde plc	LIN	10%
Lockheed Martin Corporation	LMT	10%
Lowe's Companies, Inc.	LOW	10%
Mastercard Incorporated	MA	10%
McDonald's Corporation	MCD	10%
Medtronic plc	MDT	10%
Merck & Company, Inc.	MRK	10%
Morgan Stanley	MS	10%
NextEra Energy, Inc.	NEE	10%
Nike, Inc.	NKE	10%
Oracle Corporation	ORCL	10%
Pfizer, Inc.	PFE	10%
Philip Morris International Inc	PM	10%
Procter & Gamble Company (The)	PG	10%
Prologis Inc. Common Stock	PLD	10%
Raytheon Technologies Corporation Common Stock	RTX	10%
Royal Bank Of Canada Common Stock	RY	10%
S&P GLOBAL INC	SPGI	10%
Salesforce.com Inc	CRM	10%
SCHWAB(CHARLES)CORP	SCHW	10%
Sea Limited	SE	10%
ServiceNow Inc. Common Stock	NOW	10%
Shopify Inc.	SHOP	10%
Snap Inc. Class A Common Stock	SNAP	10%
Snowflake Inc. Class A Common Stock	SNOW	10%

Security Name	Ticker	Super investment limit
Sony Group Corporation	SONY	10%
SPDR Bloomberg Barclays High Yield Bond ETF	JNK	10%
SPDR Gold Shares ETF	GLD	10%
SPDR S&P Aerospace & Defense ETF	XAR	10%
SPDR S&P Global Infrastructure ETF	GII	10%
SPDR S&P Pharmaceuticals ETF	XPH	10%
Square Inc. Class A Common Stock	SQ	10%
Stryker Corporation	SYK	10%
Taiwan Semiconductor Manufacturing Company Ltd.	TSM	10%
TARGET CORP	TGT	10%
Thermo Fisher Scientific Inc	TMO	10%
TJX COS INC	TJX	10%
Toronto Dominion Bank (The) Common Stock	TD	10%
Toyota Motor Corporation Common Stock	TM	10%
Uber Technologies, Inc.	UBER	10%
Union Pacific Corporation	UNP	10%
United Parcel Service, Inc.	UPS	10%
UnitedHealth Group Incorporated	UNH	10%
U.S. Bancorp	USB	10%
Utilities Select Sector SPDR ETF	XLU	10%
VALE S.A.	VALE	10%
Vanguard Extended Duration Treasury Index Fund ETF	EDV	10%
Vanguard FTSE Emerging Markets ETF	VWO	10%
Vanguard FTSE Europe ETF	VGK	20%
Vanguard Growth ETF	VUG	10%
Vanguard Health Care ETF	VHT	20%
Vanguard Information Technology ETF	VGT	10%
Vanguard Mid-Cap Growth ETF	VOT	10%
Vanguard Mid-Cap Value ETF	VOE	50%
Vanguard Real Estate ETF	VNQ	10%
Vanguard S&P 500 ETF	VOO	10%

Security Name	Ticker	Super investment limit
Vanguard Short-Term Bond ETF	BSV	10%
Vanguard Small-Cap Growth ETF	VBK	10%
Vanguard Value ETF	VTV	50%
Visa Inc.	V	10%
Walmart Inc.	WMT	10%
Walt Disney Company (The)	DIS	10%
Wells Fargo & Company	WFC	10%
ZOETIS INC	ZTS	10%