

# Superannuation In specie contribution



NWTRANSWTI

Please use **BLACK** pen and **BLOCK** letters.

**!** You should read the current Product Disclosure Statement for the product in which your account is held as there may have been changes to the terms and conditions governing this transaction. The current Product Disclosure Statement is available on our website.

## How to complete this form

### Existing client in the Netwealth Superannuation Master Fund

If you have already invested in the Netwealth Superannuation Master Fund please complete this 'In specie contribution' form, including the Power of Attorney and Annexure A.

### New client in the Netwealth Superannuation Master Fund

If this is your first investment into the Netwealth Superannuation Master Fund, please read the current Product Disclosure Statement, Information Guides, and any Supplementary Product Disclosure Statement for the Netwealth Superannuation Master Fund and, if you wish to proceed, complete and submit this 'In specie contribution' form, along with your application form.

You will need to assess whether to invest further cash in connection with this transfer in order to meet the minimum cash requirements. You can contact Netwealth on Freecall 1800 888 223 to find out whether you will need to make any additional contributions to your cash account as a result of this transfer.

## Power of attorney

**Why is a power of attorney required?** The power of attorney gives authority to Netwealth Superannuation Services Pty Ltd to act on your behalf in connection with all the assets outlined in Annexure A.

Netwealth acts as custodian for the Netwealth Superannuation Master Fund. The custodian's role is to hold the legal title to assets for the investor. This power of attorney granted to Netwealth in this form is limited only to the assets listed in Annexure A.

**How do I complete the power of attorney?** The current registered owner/s of the assets must complete the required details on the form, and sign where indicated. The form must be signed in the presence of a witness and that witness must also sign the form where indicated. If the assets are held in joint names you must make a photocopy of the power of attorney form (i.e. Step 6 of this form) and each person must complete and sign a copy. However, Annexure A does not have to be provided more than once. You can attach one copy of Annexure A signed by all owners to all the powers of attorney.

## Annexure A

**What is the Annexure A form and why is it required?** Annexure A is where you specify the assets to which the power of attorney applies. We use this form to gain the required information about the assets that are to be transferred into the Netwealth Superannuation Master Fund.

## How do I complete Annexure A?

### Managed funds and other investments

<b>APIR code</b>	Please enter if known.
<b>Quantity</b>	Enter the number of units you wish to transfer into your account.
<b>Investor number</b>	Investor number etc. of the holding being transferred.
<b>Name of security<sup>1</sup></b>	Also include the name of the fund manager if it is not clear from the name of the managed fund.

<sup>1</sup> Not all managed funds or other investments are eligible for transfer into the Netwealth Superannuation Master Fund. To check if your investment can be transferred in, or to receive a current list of all approved investments (accessible investments), please contact Netwealth.

### ASX listed securities

<b>ASX code</b>	All ASX listed securities have an abbreviated reference code. This can be from 3-6 characters.
<b>No. of securities</b>	Enter the number of securities you wish to transfer into your account.
<b>SRN/HIN</b>	If your holdings are issuer sponsored, you will have a Shareholder Reference Number (SRN) starting with 'I'. If the holding is broker sponsored, you will have a Holder Identification Number (HIN) starting with 'X'. If you are unsure, please refer to the latest statement that you have received for this investment.
<b>Name of security<sup>2</sup></b>	Full name of the securities being transferred.

<sup>2</sup> ASX listed securities as approved from time to time by Netwealth may be included. Where other investments are transferred, Netwealth may require original certificates.

Supplying this information will assist us to transfer the investments into your account efficiently. It will also ensure accurate information about your investments is kept and issued for annual reporting purposes.

## Superannuation In specie contribution

### How to complete this form (continued)

After the details of all investments to be transferred have been entered in Annexure A, enter the date of the power(s) of attorney under which Netwealth will be transferring and holding them (this is the date you specify in Step 6 of this form). Annexure A must be signed in the presence of a witness, and that witness must also sign where indicated.

All completed forms should now be forwarded to: Netwealth Superannuation Master Fund  
Reply Paid 336  
South Melbourne VIC 3205

### What happens next?

Forms are reviewed to ensure all the required information has been supplied. We will complete the necessary paperwork to have the investment/s transferred to be held on your behalf by Netwealth. This may involve sending a 'Standard transfer' form to the applicable State Revenue Office, then sending the change of registration details to the relevant fund manager/share registry. Transferring assets can be a lengthy process and depending on the counterparty (e.g. fund manager, share registry or other platform) will normally take up to 12 weeks to be completed.

If you have any further enquiries about either form, please call Netwealth on Freecall 1800 888 223.

### Step 1. Provide member details

Client name	<input type="text"/>																													
Client number	<input type="text"/>					Account number	<input type="text"/>																							

### Step 2. Provide rollover contribution details (if any)

If your in specie contribution involves a rollover from another fund, please complete the details below.

Fund name	<input type="text"/>																													
Unique Super Identifier (USI)	<input type="text"/>										ABN	<input type="text"/>																		
Policy/account number	<input type="text"/>																													
Full rollover/transfer	<input type="checkbox"/>	OR	Partial rollover/transfer amount	\$	<input type="text"/>																									

### Step 3. Provide other contribution details (if any)

#### Concessional contributions

Personal contribution for which you intend to claim a tax deduction <sup>1</sup>	\$	<input type="text"/>																								
Other (please attach details)	\$	<input type="text"/>																								

**!** You will only be able to claim a tax deduction on contributions for which we have received a valid notice. Not submitting a notice may impact on the deductibility of contributions and the level of contributions that count toward your contribution limits. This can have significant tax consequences.

#### Non-concessional contributions<sup>2</sup>

Personal contribution	\$	<input type="text"/>																								
Spouse contribution	\$	<input type="text"/>																								
Other <sup>3</sup> (please attach details)	\$	<input type="text"/>																								
<b>Total of all contributions</b>	\$	<input type="text"/>																								

<sup>1</sup> A personal contribution for which a tax deduction is intended to be claimed must be accompanied by a valid 'Notice of intent to claim or vary a deduction for personal super contributions' form issued by the Australian Tax Office or a valid Netwealth online tax deduction form. If you do not submit a valid form at the same time as the contribution, we will process the contribution as a personal non-concessional contribution. Alternatively, you will have the opportunity to claim a tax deduction for that contribution at the end of the financial year when Netwealth sends you a section 290.170 notice. For more information on the conditions which must be met for claiming a deduction, go to [www.ato.gov.au](http://www.ato.gov.au) and search for 'claiming deductions for personal super contributions'.

<sup>2</sup> If you have not previously quoted your tax file number (TFN) to us we must return non-concessional contributions to you. A 'Tax File Number notification' form can be obtained from your adviser or our website.

<sup>3</sup> This may include personal injury contributions or disposal of small business contributions. Please refer to the product disclosure statement (PDS) for further details of the types of contributions that can be made to your account.





Annexure A: Assets to be transferred to the Netwealth Superannuation Master Fund

In specie transfer to Netwealth of assets held in an account with another platform/broker/financial services provider (e.g. margin lender).

Please ensure you complete all of the below sections if you are transferring assets from another platform or broker.

Name of current investment provider

Full name of account

Account/Portfolio/Investor number

HIN (if applicable)

Broker PID (if applicable)

Please give Netwealth instructions as to whether you want to transfer all or only some of the assets in that account to Netwealth:

Full transfer  Please transfer all assets and close the account.

OR

Partial transfer only  Please transfer only the assets I have listed in Annexure A.

Special instructions on transfer:

Name of current investment provider

Full name of account

Account/Portfolio/Investor number

HIN (if applicable)

Broker PID (if applicable)

Please give Netwealth instructions as to whether you want to transfer all or only some of the assets in that account to Netwealth:

Full transfer  Please transfer all assets and close the account.

OR

Partial transfer only  Please transfer only the assets I have listed in Annexure A.

Special instructions on transfer:

This is the annexure marked 'Annexure A' referred to in the power of attorney dated  /  /  and given to Netwealth Superannuation Services Pty Ltd ABN 80 636 951 310.

Signature

Witnessed by

Annexure A: Assets to be transferred to the Netwealth Superannuation Master Fund (continued)

Managed funds and other investments

APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					
APIR code	<input type="text"/>	Quantity	<input type="text"/>	OR	<input type="checkbox"/>	Transfer all units
Investor number	<input type="text"/>					
Name of security	<input type="text"/>					

This is the annexure marked 'Annexure A' referred to in the power of attorney dated  /  /  and given to Netwealth Superannuation Services Pty Ltd ABN 80 636 951 310.

Signature

Witnessed by

**!** Where an investment is held by an individual, jointly or by a company please attach a copy of the most recent holding statement. If this is a transfer from another superannuation fund, please attach a copy of your most recent member statement showing the underlying investments in your account. This is required to ensure that we can expedite this transfer.

**Annexure A: Assets to be transferred to the Netwealth Superannuation Master Fund (continued)**

**ASX listed securities**

Please fill in your broker's name and address (if applicable), and enter below the details of securities listed on the Australian Securities Exchange ('ASX') which are applicable to that broker and which you wish to transfer to Netwealth. If you use more than one broker or the securities are issuer-sponsored, please make and complete another copy of this form.

Your broker's name

Your broker's address

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

ASX code  No. of securities  OR  Transfer all units

SRN/HIN

Name of security

This is the annexure marked 'Annexure A' referred to in the power of attorney dated  /  /  and given to Netwealth Superannuation Services Pty Ltd ABN 80 636 951 310.

Signature

Witnessed by

**!** Where an investment is held by an individual, jointly or by a company please attach a copy of the most recent holding statement. If this is a transfer from another superannuation fund, please attach a copy of your most recent member statement showing the underlying investments in your account. This is required to ensure that we can expedite this transfer.