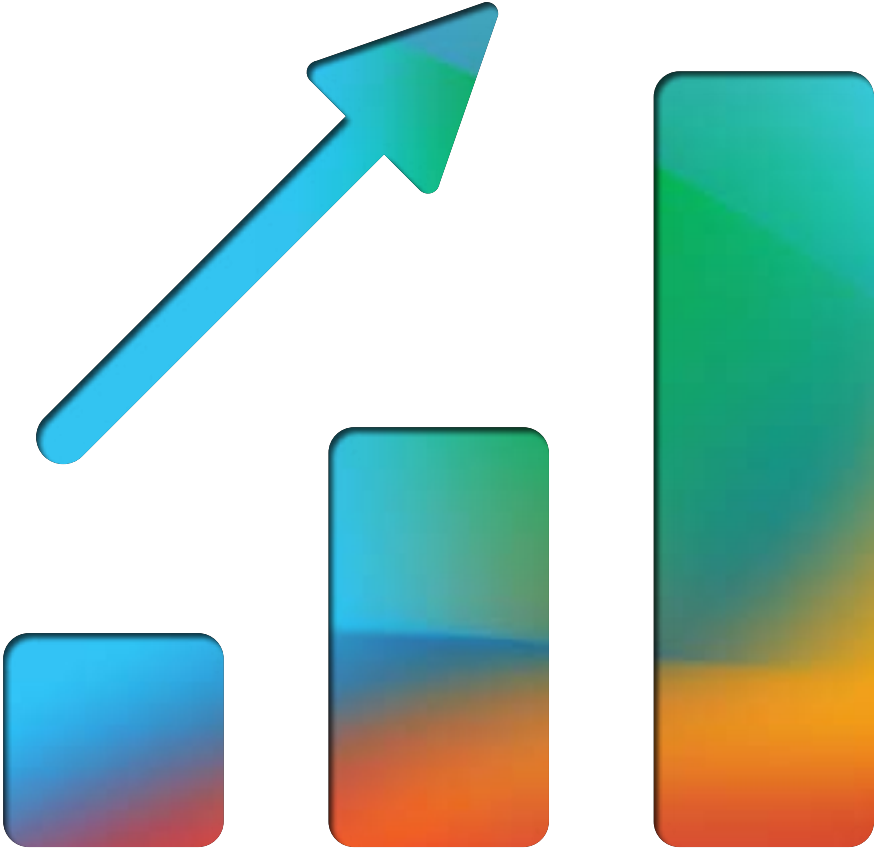


# Wealth Accelerator Individual and Joint Investors

Application Forms Booklet  
22 April 2026

Issuer/operator details:  
Netwealth Investments Limited  
ABN 85 090 569 109  
AFSL 230975



This booklet contains application forms for Individual and Joint Investors of Netwealth Wealth Accelerator (**'Wealth Accelerator'**). This is the Application Forms Booklet referred to in the Wealth Accelerator Multi Asset Portfolio Service Guide dated 1 October 2025 (the **'Wealth Accelerator Guide'**).

## Contact details


### Postal address

Netwealth Investments Limited  
PO Box 336  
South Melbourne VIC 3205

Freecall 1800 888 223 (within Australia)  
Phone 03 9655 1300  
Fax 03 9655 1333  
Email [contact@netwealth.com.au](mailto:contact@netwealth.com.au)  
Our website [netwealth.com.au](http://netwealth.com.au)

## How to deposit

Method	Payment	Other requirements
Electronic funds transfer (EFT) If you know your account number.	Bank: ANZ BSB number: 013 993 Account no.: 999 followed by your Netwealth account number Reference: Your account name	Example: to make an EFT deposit for Paul Smith with Netwealth account number WRAPXXXXXX enter details as follows: BSB number: 013 993 Account no.: 999XXXXXX Reference: Paul Smith
BPAY®	Biller Code: 21204	Each client has a unique BPAY® Customer Reference Number (CRN). This can be found on our website, on periodic statements or by contacting Netwealth.
Direct debit	One-off direct debits	'Direct debit request' form – to request a one-off, regular or ad-hoc direct debit from your bank account;
Self Managed Super Fund accounts SuperStream Employers Only	Bank: ANZ BSB number: 013 993 Account no.: 999 followed by your Netwealth account number Reference: Same as SuperStream contribution message	Example: to make a SuperStream contribution for an SMSF account with Netwealth account number WRAPXXXXXX and ABN XX XXX XXX XXX send the SuperStream contribution message to: ESA: netwealthsmsf ABN: XXXXXXXXXXXX Member ID: WRAPXXXXXX For payment use: BSB number: 013 993 Account no.: 999XXXXXX Reference: Same as SuperStream contribution message

 All deposit remittances except SuperStream must be sent to [remittance@netwealth.com.au](mailto:remittance@netwealth.com.au).

Please complete the amount of the initial deposit and the method of payment in Step 6 of the 'Application' form.

It is important to follow these deposit instructions carefully, otherwise we may not be able to correctly allocate your deposits to your account.

° Registered to BPAY Pty Ltd ABN 69 079 137 518

## Transferring in assets (Wealth Accelerator Plus only)

Investments that have been approved by Netwealth may be transferred into your Wealth Accelerator Plus account. You can do this by completing the 'In specie transfer and limited power of attorney' form. For details of costs that may be incurred please refer to the section called 'Transferring investments into your account' in 'Portfolio Service Guide 1a: Operating your Wealth Accelerator account'.

## Checklist and forms

### Who holds the account?

Account type/ designation	Who must apply for the account (example account name)	Which part of Step 3 on the Application form to complete	Which TFN or ABN is required (example)	Who signs
Individual	Individual Paul Smith	Step 3a and Step 3b	TFN Paul Smith's TFN	Individual
Joint	Each individual investor Paul & Ann Smith	Step 3a and Step 3b	TFNs Paul Smith's TFN & Ann Smith's TFN	Both investors
Investing for a child under the age of 18	The trustee/s for the child (often the child's parent/s or guardian) Paul Smith ATF Billy Smith	Step 3a, Step 3b and Step 3c	TFN Paul Smith's TFN	The trustee/s for the child

### Forms you need to complete

All applicants	
'Application' form	In all cases using the 'Application' form or completing an online application.
Only if applicable	
'Upfront / Fixed term advice fee Instruction'	If you agree with your adviser to pay an upfront or fixed term advice fee, please complete and send to us the 'Upfront / Fixed term advice fee Instruction' form included in this booklet.
'Non-custodial Power of Attorney' (Wealth Accelerator Plus only)	If you would like us to administer non-custodial assets on your behalf, please complete and send to us the original 'Non-custodial power of attorney' form included in this booklet.
'Direct debit request'	If you wish to make a one-off deposit from your bank account or allow us to debit your bank account for future deposits please complete and send us the 'Direct debit request' form included in this booklet.
'In specie transfer and limited power of attorney' (Wealth Accelerator Plus only)	To initiate a transfer of your existing investments into your Wealth Accelerator Plus account, you must complete and send us the 'In specie transfer and limited power of attorney' form included in this booklet.
'Foreign tax residency information'	If you are a tax resident of more than one country other than Australia please provide your TIN or your reason for not providing a TIN.

## Checklist and forms

### Advice fees

Details of the below advice fees can be provided in your online account by your Nominated Financial Adviser once your application has been approved and your account has been created.

'Ongoing advice fee arrangement Consent'	If you agree with your adviser to pay an ongoing advice fee, your adviser can set up details of this fee in your online account once it has been created. You will then need to sign the consent form.
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### Additional instructions and account options

Instructions and transactions described below can be made in your online account once you receive your login details. If you choose to undertake these transactions offline using the forms available from our website you may be charged an offline transaction fee.

Your funds will remain in your cash account until you give us your investment instructions.

Auto sell down profile	To nominate the order in which you want to sell down your investments to top up your cash account in the event the balance of your cash account falls below the minimum cash requirement. If you do not select an auto sell down profile the default profile (largest to smallest) will apply to your account.
Dollar cost averaging (DCA) plan	If you wish to apply a dollar cost averaging plan to this account to invest regular monthly amounts from your cash account into managed funds or the managed models for a fixed or indefinite period of time.
Regular savings plan	If you wish to commence a regular savings plan, please complete this instruction online once you receive your login details. Alternatively, complete a 'regular savings plan' form available from our website.
Regular payment plan	If you wish to receive regular payments from your cash account, please complete this instruction online once you receive your login details. Alternatively, complete a 'regular payment plan' form available from our website.
Term deposit and fixed term annuity instructions	To invest in term deposits or fixed term annuities, please complete the transaction online once you receive your login details.
Investment instructions	To invest in managed funds, managed models, Australian listed securities (Wealth Accelerator Plus only) or other investments, please transact online once you receive your login details. Alternatively, complete an 'Investment instruction' form available from our website or your adviser. You can only invest in international securities online (Wealth Accelerator Plus only). To invest in non-custodial assets (Wealth Accelerator Plus only), please contact us.

### Please send all correspondence to:

Send to us:



[contact@netwealth.com.au](mailto:contact@netwealth.com.au)



Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205



## Step 3b. Provide applicant details

## Applicant 1 (individual account holder, first joint investor)

Mr/Mrs/Miss/Ms/Dr/Other  Family name

Given name(s)

Date of birth  /  /  Sex  Male  Female  Other

Are you known by any other name?  No  Yes

Residential address<sup>1</sup>  
(PO Box is NOT acceptable)

Suburb/town

State  Postcode  Country (if not Australia)

Mobile

Email

Employment type (select one)  Full-time  Part-time  Casual  Unemployed  Retired  
 Student  Self-employed/Sole trader

Occupation

Citizenship  Australia  Other: Country of citizenship

Country of birth  Australia  Other: Country of birth

<sup>1</sup> If you reside in the European Union or the United Kingdom, we are unable to accept your application.

## Source of wealth

Source of wealth refers to where your entire body of wealth and assets comes from. Select all that apply.

Business income  Savings from employment  Foreign income  Inheritance/Gift  
 Investment income  Asset sales/Capital gains  Superannuation/Pension  Insurance payout/legal settlement  
 Loan/borrowing

## Tax residency and details

I am a tax resident of Australia: Tax file number  -  -  OR  I do not wish to provide my TFN  
OR Reason for exemption

AND/OR  I am a tax resident of another country<sup>2</sup>: Country

TIN  Type of Tax ID<sup>3</sup> (TIN, SSN or Other)  OR Exemption reason<sup>4</sup> (A, B or C)

**⚠ WARNING!** Failure to quote an ABN, quote a TFN or claim an exemption may cause Netwealth to withhold tax at the top marginal tax rate plus Medicare levy, before passing on any distributions.

<sup>2</sup> If you are a tax resident of more than one other country please complete the enclosed 'Foreign tax residency information' form and return it with your application.

<sup>3</sup> Tax ID types

**TIN** Tax Identification Number  
**SSN** Social Security Number  
**Other** Other type of identification that is not a TIN or an SSN

<sup>4</sup> Exemption reasons:

**Reason A:** The country of tax residency does not issue TINs to tax residents.  
**Reason B:** You have not been issued with a TIN.  
**Reason C:** The country of tax residency does not require the TIN to be disclosed.

Are you a US citizen or resident of the US for tax purposes?  Yes  No

**⚠** If you are a US Specified Person you must provide a TIN (the account will not be opened without a TIN).

## Step 3b. Provide applicant details (continued)

## Consent to electronically verify investor details against Government records

Your Identification Details are required to enable Netwealth to confirm them with the Australian Government. Your Identification Details will be submitted to the Australian Government's Document Verification Service ('DVS'). The DVS is a national online system that allows organisations to compare an individual's identifying information with a government record. More information about the DVS is available on their website <https://www.dvs.gov.au>.

Australian driving licence number	<input type="text"/>	Card number	<input type="text"/>
State of issue	<input type="text"/>		
Date of issue	<input type="text"/> / <input type="text"/> / <input type="text"/>	Date of expiry	<input type="text"/> / <input type="text"/> / <input type="text"/>
<b>OR</b> Passport number	<input type="text"/>		
Date of issue	<input type="text"/> / <input type="text"/> / <input type="text"/>	Date of expiry	<input type="text"/> / <input type="text"/> / <input type="text"/>

By ticking the box below, you authorise us to use the details provided to verify your identity against records held by various Government agencies/bodies, for example an agency or body that issue passports, drivers licences or other identification cards (the 'Issuer or Official Record Holder of government records').

I confirm that I:

- am authorised to provide the details and any supporting identification documents; and
- agree that these details may be checked against records held by the Issuer or Official Record Holder of government records.

You do not have to give your consent in this section. In any event (whether you give consent or otherwise), we may request further information to meet our Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) obligations and be unable to process your request until that information is received and accepted.

## Applicant 2 (second joint investor) (if applicable)

Mr/Mrs/Miss/Ms/Dr/Other	<input type="text"/>	Family name	<input type="text"/>
Given name(s)	<input type="text"/>		
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>	Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other
Are you known by any other name?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<input type="text"/>	
Residential address <sup>5</sup> (PO Box is NOT acceptable)	<input type="text"/>		
Suburb/town	<input type="text"/>		
State	Postcode	Country (if not Australia)	<input type="text"/>
Mobile	<input type="text"/>		
Email	<input type="text"/>		
Employment type (select one)	<input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Casual <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Self-employed/Sole trader		
Occupation	<input type="text"/>		
Citizenship	<input type="checkbox"/> Australia <input type="checkbox"/> Other:	Country of citizenship	<input type="text"/>
Country of birth	<input type="checkbox"/> Australia <input type="checkbox"/> Other:	Country of birth	<input type="text"/>

<sup>5</sup> If you reside in the European Union or the United Kingdom, we are unable to accept your application.



#### Step 4. Contact details for correspondence and transaction election<sup>9</sup>

Contact address	<input type="text"/>																											
	<input type="text"/>																											
Suburb/town	<input type="text"/>																											
State	<input type="text"/>	Postcode	<input type="text"/>	Country (if not Australia)	<input type="text"/>																							
Mobile*	<input type="text"/>																											
Email*	<input type="text"/>																											
	<input type="text"/>																											

<sup>9</sup> These contact details cannot be the contact details of your adviser.

\* Mandatory fields

#### Preferred online access and communication method

Level of online access (please select one)  Transact  Browse only

Communication method (please select one)  Electronically<sup>10</sup>  Post

**⚠** If no level of online access is selected then you elect to have browse only online access. If no communication method is selected then you elect to receive all communications electronically.<sup>10</sup>

<sup>10</sup> Receiving communications electronically means by a SMS sent to your mobile phone number, an email sent to your email address, or notification to you by email or SMS that the communication is available for you to access in your secure online account or on our website. Communications include all letters, statements, confirmations and other notices.

#### Step 5. Provide nominated bank account details

Account holder/s name	<input type="text"/>																											
	<input type="text"/>																											
BSB	<input type="text"/>	-	<input type="text"/>	Account number	<input type="text"/>																							

#### Step 6. Provide details of your initial deposit

Amount of initial deposit \$

Deposit method  Electronic funds transfer  BPAY®  
 Direct debit (complete and attach the enclosed 'Direct debit request' form)

This initial deposit includes a margin loan amount of \$

This initial deposit includes another borrowed amount of \$

<sup>11</sup> Percentage advice fees will not be applied to borrowed amounts.

#### Step 7. Apply to use the Premium Service as a Wholesale Client (Wealth Accelerator Plus only) (optional)

If you are a Wholesale Client, you may use the Premium Service as set out in 'Portfolio Service Guide 2: Premium Service for Wholesale Clients'. By ticking this box you agree to the Premium Service terms in Step 10.


I apply to use the Premium Service as a Wholesale Client.<sup>12</sup>

<sup>12</sup> You will also need to submit either a certification from a qualified accountant (and a 'Certificate of control of trust or company' form if applicable) or if you are a Professional Investor, please complete and submit the 'Wholesale Client Certification' form.

### Step 8. Provide your adviser with BPAY and/or Pay Anyone authority (optional)

Pay Anyone allows you to make withdrawals from your cash account into any Australian bank account. BPAY allows you to pay bills from your cash account using a biller code and customer reference number. You may instruct us to give your Adviser Representative authority to make such payments on your behalf by completing this Step 8.

 BPAY or Pay Anyone access is not available to accounts that require multiple signatures to transact i.e. more than one signatory to sign.

 You must provide us with a valid mobile phone number in Step 4 so we can notify you of any Pay Anyone or BPAY transfers initiated by your adviser.

By ticking the box(es) below, you provide permission for your Adviser Representative to make payments from your account using the Pay Anyone and/or BPAY facilities on your behalf, as described in the Disclosure Documents (**Facility permission**):

I authorise my Adviser Representative to make BPAY payments from my account on my behalf;

**and/or**

I authorise my Adviser Representative to make Pay Anyone withdrawals from my account on my behalf.

Your authorisation remains in effect until you remove this permission by logging into your account or contacting us.

### Step 9. Nominate your Financial Adviser and advice fees

#### Financial Adviser nomination

AFS Licensee name	<input type="text"/>
Adviser code	<input type="text"/>
Adviser name	<input type="text"/>

#### Automatic Adviser Representative nomination and agency agreement

I nominate the Financial Adviser named above (**Nominated Financial Adviser**) as my Adviser Representative who will be empowered to act on my behalf on matters relating to my account (including receiving documents about the underlying investments that my account is invested in on my behalf, as my agent) unless I inform Netwealth in writing that I do not want my Nominated Financial Adviser to be my Adviser Representative (either by ticking the box below or by advising Netwealth in writing). My Nominated Financial Adviser, as my Adviser Representative, may authorise officers or employees of the Nominated Financial Adviser to give Netwealth instructions in relation to my account.

If my Nominated Financial Adviser changes their AFS Licensee, the Nominated Financial Adviser is authorised to continue as my Adviser Representative so long as the new AFS Licensee is registered by Netwealth. I have noted the important warning regarding Adviser Representatives in the Wealth Accelerator Guide. I confirm and agree to the release of Netwealth from liability in relation to actions by my Adviser Representative as described in the Wealth Accelerator Guide.

Tick this box only if you do **NOT** wish for your Nominated Financial Adviser to have authority to act as your Adviser Representative. If you opt-out of the Adviser Representative nomination on your Wealth Accelerator account, this will also apply to any Adviser Representative nomination on any other account/s under the same username.

I do **NOT** wish my Nominated Financial Adviser to be my Adviser Representative.

#### Advice fees

Indicate the types of any advice fees you agree with your Nominated Financial Adviser to apply to this account.

Upfront advice fee, to apply to deposits and transfers to your account

Fixed term advice fee, to apply for a fixed term of up to 12 months

To provide details of the above upfront and fixed term fees please complete an 'Upfront / Fixed term advice fee Instruction' form and include it with this application.

Ongoing advice fee

Advice fee – listed securities, to apply to each Australian share trade (Wealth Accelerator Plus only)

Details of the above ongoing fees can be provided online by your Nominated Financial Adviser once your application has been approved and your account has been created as an account number is required for the consent to be valid.

## Step 10. Read and sign this client declaration

If you wish to use the direct debit facility you must also complete the 'Direct debit request' form in this Application Forms Booklet and agree to the direct debit request agreement terms.

By submitting this application, I declare that:

### Wealth Accelerator Disclosure Documents and contract terms

1. I have received, read, understood and agree to be bound by the terms and conditions set out in the Disclosure Documents for Wealth Accelerator;
2. I agree to enter into and be bound by a contract with Netwealth comprising of the terms set out in the Disclosure Documents and this 'Application' form (the '**Contract**'). I acknowledge that the terms of the Wrap Deed dated 12 October 2000 (as amended from time to time) apply to my investments in Wealth Accelerator that are held in custody by Netwealth. Without limiting the above, I agree to each of the matters set out in 'Things you agree to when you apply – your contract with us to use Wealth Accelerator' in the Wealth Accelerator Guide;
3. I acknowledge that:
  - (a) Netwealth may amend the Contract from time to time as it reasonably considers necessary or desirable without prior notice to me, however if any amendment is materially adverse to me, Netwealth must give me sufficient notice (generally 30 days) to enable me to close my Wealth Accelerator account and have the investments held in my account, or the net proceeds from them, transferred to me prior to the amendment taking effect. This power of amendment is in addition to Netwealth's powers of amendment under the Wrap Deed; and
  - (b) to the extent that I have a liability to Netwealth under the Contract, and that liability is not fully satisfied through any right that Netwealth has to indemnification out of my account, I agree to pay Netwealth the amount;
4. I have read, understood and agree to be bound by the terms and conditions governing the use of Netwealth's website, available from the website.

### Premium Service terms (Wealth Accelerator Plus only)

5. If I have applied to use the Premium Service as a Wholesale Client at Step 7 of this application:
  - (a) I understand that I must submit with my application the appropriate certifications to qualify as a Wholesale Client and that Netwealth has discretion as to whether or not it is satisfied I am using Wealth Accelerator as a Wholesale Client at any particular time;
  - (b) I represent to Netwealth that I will be using Wealth Accelerator as a Wholesale Client and, unless I am a Professional Investor (as defined in the Corporations Act), I represent to Netwealth that my Wealth Accelerator account is not for use in connection with a business;
  - (c) I understand that Wealth Accelerator is not provided to me as a retail client for the purposes of the Corporations Act and, as I am not a retail client, I will not have some of the rights and protections that apply to retail clients and I will not be entitled to or receive some of the disclosures that are required to be provided to retail clients under the Corporations Act;
  - (d) I understand that, despite anything to the contrary contained in the Disclosure Documents, as I am a Wholesale Client, Netwealth is not required to give me a product disclosure statement or other disclosure document for any managed funds or other financial products that I choose to invest in through Wealth Accelerator and that Netwealth may acquire investments on my behalf for which there is no product disclosure statement or other disclosure document; and
  - (e) I release Netwealth and its directors, employees and associates (each, an Indemnified Person) from, and indemnify each Indemnified Person against, any claim, loss or liability incurred by an Indemnified Person and arising in connection with Netwealth providing Wealth Accelerator to me as a Wholesale Client, except to the extent that the claim, loss or liability was caused by Netwealth's negligence or wilful default. Netwealth holds the benefit of this indemnity on trust for each other Indemnified Person.

### Anti-Money Laundering and Counter-Terrorism Financing


6. I acknowledge that Netwealth is bound by laws about the prevention of money laundering and the financing of terrorism, including the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* ('**AML/CTF laws**') and I represent and agree with Netwealth that:
  - (a) I am not applying to invest in Wealth Accelerator under an assumed name;
  - (b) any money used by me to invest in Wealth Accelerator is not derived from or related to any criminal activities;
  - (c) any proceeds of my investments will not be used in relation to any criminal activities;
  - (d) if Netwealth asks, I will provide all additional information Netwealth reasonably requires for the purposes of AML/CTF laws (including the source of funds used to invest);
  - (e) Netwealth may obtain information about me from third parties if Netwealth believes this is necessary to comply with AML/CTF laws; and
  - (f) in order to comply with AML/CTF laws Netwealth may be required to take action, including:
    - delaying, blocking, freezing or refusing to process a transaction or provide a service to me; or
    - disclosing information that Netwealth holds about me to Netwealth's related bodies corporate or service providers, or relevant regulators of AML/CTF laws (whether in or outside of Australia).



## Step 11. Payment

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See page 3 of the Application Forms Booklet for details on how to make your deposit. Attach any 'Upfront/Fixed term advice fee instruction' form, 'In specie transfer' form, 'Direct debit request' form and 'Foreign tax residency information' form to the 'Application' form and send to:

**Send to us:** [contact@netwealth.com.au](mailto:contact@netwealth.com.au) [Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205](#) [Activities & Tasks > Document Upload](#)**For more information:** [netwealth.com.au](http://netwealth.com.au) [1800 888 223](tel:1800888223) [Your adviser](#)

# Wealth Accelerator Application

## Upfront / Fixed term advice fee

### Instruction

Please use **BLACK** pen and **BLOCK** letters.

This form is to accompany a new account application form. In this form a reference to your Adviser means the Nominated Financial Adviser named at Step 2 of this form. References to 'I', 'me' and 'my' include individual investors, joint investors and corporate investors.

By completing this form, you authorise and direct Netwealth to pay the advice fees set out in this form to the AFS Licensee for whom your Adviser acts as an authorised representative (whoever that may be from time to time) and you consent to some or all of this amount being paid by the AFS Licensee to your Adviser (or their nominee).

#### Step 1. Client details

This instruction accompanies the application to open a Wealth Accelerator account for the following investors (Application)

Investor 1	<input type="text"/>
(as shown in Step 3b of the Application)	<input type="text"/>
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>
Investor 2	<input type="text"/>
(as shown in Step 3b of the Application)	<input type="text"/>
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>
Other account holders	<input type="text"/>
(as shown in Step 3c of the Application)	<input type="text"/>

#### Step 2. Your Nominated Financial Adviser's name

Adviser name	<input type="text"/>
	<input type="text"/>
Adviser code	<input type="text"/>

#### Step 3. Instruction to pay an upfront advice fee

##### Upfront advice fees applying to deposits to your account (including GST)


Initial deposit fixed percentage rate  % Rate applied to all deposits shown in Step 6 of the Application form.

AND/OR

Initial deposit fixed dollar amount \$  This amount is a one-off fee.

#### Step 4. Instruction to pay fixed term advice fees

Start date  /  /  End date  /  /

 The start date cannot be prior to the first of this month and the end date cannot be more than 12 months from the start date.

##### Fixed rate fixed term advice fee based on your total account balance (including GST)

Fixed percentage rate  % p.a.

This is a percentage per year of the total account balance, paid monthly based on the daily total account balance.

Tick this box to include your non-custodial asset balance in the fee calculation (Wealth Accelerator Plus only).



**Step 5. Read and sign this client declaration**

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:

1. I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant Disclosure Documents that are current as at the date I sign this instruction;
2. I have agreed with my Nominated Financial Adviser to pay the advice fees outlined in Steps 3 and 4 and I consent to the deduction of these fees from the Wealth Accelerator account established as a result of the accompanying application;
3. I authorise and direct Netwealth to pay these amounts to the AFS Licensee for whom my Nominated Financial Adviser acts as an authorised representative (whoever that may be from time to time) and I consent to some or all of this amount being paid by the AFS Licensee to my Nominated Financial Adviser (or their nominee); and
4. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this transaction and/or my investments in Wealth Accelerator.

**Account signatory one full name**

**Position/title**  Individual  Director  Sole director & sole company secretary  
 Other

**Signature**  **Date**  /  /

**Account signatory two (if applicable)**







**Position/title**  Individual  Director  Company secretary  
 Other

**Signature**  **Date**  /  /

This form may be signed by the number of signatories specified in Step 10 of the Application. If you require more than 2 signatories, please copy this page.

It is recommended that you retain a copy of the completed form for your own records.

**Step 6. Once complete please send to us with your Application**

- Send to us:**  [contact@netwealth.com.au](mailto:contact@netwealth.com.au)  [Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205](#)
-  [Activities & Tasks > Document Upload](#)
- For more information:**  [netwealth.com.au](http://netwealth.com.au)  [1800 888 223](tel:1800888223)  [Your adviser](#)

# 18

## Wealth Accelerator Multi Asset Portfolio Service

### Non-custodial power of attorney

#### Wealth Accelerator Plus only

Please use **BLACK** pen and **BLOCK** letters.

References to 'I', 'me' and 'my' include individual investors, joint investors and corporate investors.

 Please return a completed copy of the original Power of Attorney to Netwealth by mail.

#### 1. Appointment

I, \_\_\_\_\_  
Name of registered account holder/trustee/name of company or corporate trustee

\_\_\_\_\_  
Account designation, if applicable (e.g. name of self-managed super fund, trust)

of \_\_\_\_\_  
Address

(the '**Principal**') appoint Netwealth Investments Limited (ABN 85 090 569 109) ('**Netwealth**') of Level 6/180 Flinders Street, Melbourne in the State of Victoria, Australia to be my attorney in connection with the administration services described in Netwealth's Wealth Accelerator Multi Asset Portfolio Service Guide (as updated or re-issued from time to time), and to exercise the powers set out below.

#### 2. Definitions

In this document, '**Asset**' means an asset:

- a. that is or is intended to be (once it is acquired) held in the name of the Principal, or a custodian or nominee (other than Netwealth) on behalf of the Principal; and
- b. Netwealth has accepted as an asset in respect of which Netwealth is willing to provide administration services to the Principal.

#### 3. Powers

The Principal authorises Netwealth to do any or all of the following:

- a. administer any Asset with all the powers of an absolute owner;
- b. apply for (including apply for or make an investment or additional investment in), sell, transfer, assign, redeem or otherwise dispose of an Asset in accordance with, or in order to give effect to, an instruction from the Principal or an agent of the Principal;
- c. provide personal information about the Principal (including the Principal's Tax File Number) to an issuer, manager or administrator of an Asset ('**Recipient**'), whether within Australia or overseas, in connection with an Asset (including any identity verification requirements);
- d. receive any distributions, dividends or other income in connection with an Asset, or the proceeds of any disposal of an Asset, and to direct any registry or administrator in respect of the Asset to pay any such amounts to a trust bank account held by Netwealth for processing before being transferred to the Principal's nominated Wealth Accelerator account;
- e. exercise any voting right in respect of an Asset in accordance with, or in order to give effect to, an instruction from the Principal or an agent of the Principal;
- f. instruct the issuer of an Asset in respect of any corporate action relating to that Asset in accordance with, or in order to give effect to, an instruction from the Principal or an agent of the Principal;
- g. do any thing or execute any document that Netwealth considers necessary or convenient in order to give effect to anything in paragraphs a-f above;
- h. at the Principal's cost, to stamp and register this power of attorney where stamping of registration is required in order for this document to be an effective grant of power of attorney.

#### 4. Delegation of powers

- a. Netwealth may delegate the exercise of its powers under this document to any person engaged (whether as employee or contractor) by Netwealth or any of its related bodies corporate (within the meaning of the *Corporations Act 2001* (Cth)) having a position title including the word "Manager" (including any person acting in that position from time to time).
- b. Netwealth may appoint one or more attorneys to exercise any of its powers under this document and may revoke those appointments at any time.

#### 5. Validity of acts

- a. The Principal declares that all acts, matters and things done by Netwealth (or its authorised delegates) in exercising powers under this document will be as valid and effective as if they had been done by the Principal.
- b. The Principal agrees to ratify and confirm whatever Netwealth or its authorised delegates do in exercising powers under this document, including anything done between the revocation of this document and such revocation becoming known to Netwealth.
- c. Without limiting paragraph 5b, the Principal agrees to sign any document that Netwealth reasonably requires in order to confirm to a third party that an asset in respect of which Netwealth seeks to exercise a power under this document is an Asset.




Once complete please send to us

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Send to us:  Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205

For more information:  [netwealth.com.au](https://netwealth.com.au)

 1800 888 223

 Your adviser



## Client Service Agreement – Direct debit

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### Definitions

**account** means the account held at **your financial institution** from which we are authorised to arrange for funds to be debited.

**agreement** means this Client Service Agreement between **you** and **us** with regard to direct debit arrangements.

**non-business day** means a Saturday or a Sunday or a public holiday listed throughout Australia.

**debit day** means the day that payment by **you** to **us** is due.

**direct debit request** means the direct debit request between **us** and **you**.

**us** or **we** means Netwealth Investments Limited (the debit user) you have authorised by signing a **direct debit request**.

**you** means the customer who signed the **direct debit request**.

**your financial institution** is the financial institution where **you** hold the **account** that **you** have authorised **us** to arrange to debit.

### Drawing arrangements

We will only arrange for funds to be debited from your account as authorised in the direct debit request. Where the debit date falls on a non-business day, we will draw the amount on the next business day.

We will not change any details of drawings arrangements without giving you at least fourteen (14) days' written notice.

We reserve the right to cancel the direct debit arrangements if three or more drawings are returned unpaid by your nominated financial institution and to arrange with you an alternate payment method.

We will keep all information pertaining to your nominated account at the financial institution, private and confidential.

### Your rights

You may terminate your direct debit arrangement at any time by giving signed written notice directly to us. Notice to us should be received by us at least two (2) business days prior to the due date.

You may stop a debit to your account under your direct debit arrangement by giving signed written notice directly to us. Notice given to us should be received by us at least two (2) business days prior to the due date.

You may request a change to the drawing amount by contacting us and advising your requirements no less than two (2) business days prior to the due date.

Where you consider that a drawing has been initiated incorrectly (outside your direct debit arrangement) you may take the matter up directly with us, or lodge a direct debit claim through your nominated financial institution.

### Your responsibilities

It is your responsibility to ensure that sufficient funds are available in the nominated account to meet a drawing on its due date. If there are not sufficient funds in the nominated account a bank dishonor fee may be recovered from your account.

It is your responsibility to ensure that the authorisation given to draw on the nominated account is identical to the account signing instruction held by the financial institution where the account is based.

It is your responsibility to advise us if the account nominated by you to receive the direct debit arrangement is transferred or closed.

It is your responsibility to advise us of a suitable alternate payment method if you wish to amend your direct debit arrangement (where necessary).

All changes or queries regarding this agreement or your direct debit arrangement should be directed to our investor services team on 1800 888 223 or in writing to:

 [contact@netwealth.com.au](mailto:contact@netwealth.com.au)

 [Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205](#)



**Step 2. Domestic transfers** (Continued)

What type of holding is the transfer coming from? (Please select one)

Platform  Broker

If transferring assets from an investment provider, issuer sponsor or directly held managed funds please complete Annexure A only.

**Name of current provider**

Full name of account (as listed  
with current provider)

Account/Portfolio/Investor number

HIN (if applicable)

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Broker PID (if applicable)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Please select one of the following options:

Full transfer (excluding cash)  Please transfer all assets other than cash.

Full asset and cash transfer + account closure (this will not apply to broking accounts)  Please transfer all assets, cash, and close the account.

**OR**

Partial transfer only  Please transfer only the assets I have listed in Annexure A.

Additional comments:

**Transfer 2**

This transfer will result in:

A Change of Beneficial Ownership (i.e. a CGT event – Portfolio valuation required)

No Change of Beneficial Ownership (i.e. NOT a CGT event - Cost base information & Portfolio valuation required)

What type of holding is the transfer coming from? (Please select one)

Platform  Broker

If transferring assets from an investment provider, issuer sponsor or directly held managed funds please complete Annexure A only.

**Name of current provider**

Full name of account (as listed  
with current provider)

Account/Portfolio/Investor number

HIN (if applicable)

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Broker PID (if applicable)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Please select one of the following options:

Full transfer (excluding cash)  Please transfer all assets other than cash.

Full asset and cash transfer + account closure (this will not apply to broking accounts)  Please transfer all assets, cash and close the account.

**OR**

Partial transfer only  Please transfer only the assets I have listed in Annexure A.

Additional comments:



**Step 3. International transfers**

**Transfer details:**

This transfer will result in:

- A Change of Beneficial Ownership (i.e. a CGT event – Portfolio valuation required)
- No Change of Beneficial Ownership (i.e. NOT a CGT event - Cost base information & Portfolio valuation required)

What type of holding is the transfer coming from? (Please select one)

- Broker/Electronic
- Direct Registry System (DRS)
- Certificate

**Name of current provider**

Full name of account (as listed) \_\_\_\_\_

with current provider) \_\_\_\_\_

Account/Portfolio/Investor number \_\_\_\_\_

*Please select one of the following options:*



- Full transfer (excluding cash)  Please transfer all assets other than cash.
- Full asset and cash transfer + account closure (this will not apply to broking accounts)  Please transfer all assets, cash, and close the account.

**OR**

- Partial transfer only  Please transfer only the assets I have listed in Annexure B.

Additional comments: \_\_\_\_\_

Please note all international security transfer applications may be subject to asset approval prior to being placed on Netwealth’s platform. Refer to our website for available international stock exchanges and approved securities. Netwealth engages the services of an external sub custodian in order to facilitate the transfer of international securities. As a result, processing times may vary depending on the circumstances of the transfer.

-  If an asset has multiple parcels, please provide a copy of the information in a csv spreadsheet.
-  Transferring of foreign cash is only applicable to Wholesale Clients.

**Annexure B - International assets to be transferred to the Wrap Service**

Security ISIN	Name of security/Ticker	Stock Exchange	Date purchased	Total cost (AUD)	Quantity to transfer OR	Transfer all?
AAPL	Apple	NYSE	12/1/21	1.10	1000	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

NW-WS-IST\_0426

#### Step 4. Limited Power of Attorney deed poll

The Limited Power of Attorney (LPOA) gives authority to Netwealth Investments Limited to act on your behalf in connection with all of the assets outlined in Step 2 and Step 3 of this form (and only those assets) for the purposes set out in paragraphs 1-4 below. To complete this section, the current registered owner/s of the assets must complete the required details on the form, and sign where indicated. The form must be signed in the presence of a witness. If the assets are held in joint names, each person must sign this form. However, the details in Step 2 and Step 3 do not have to be provided more than once.

I \_\_\_\_\_  
Name of registered account holder/trustee/name of company or corporate trustee

\_\_\_\_\_  
If signing this LPOA in a trustee capacity, specify the name of the trust here. Otherwise, strike out this line

of \_\_\_\_\_  
Address

appoint Netwealth Investments Limited ABN 85 090 569 109 (Netwealth) of Level 6/180 Flinders Street, Melbourne in the State of Victoria, Australia to be my true and lawful attorney for me and in my name act as my attorney may deem expedient:

1. to administer my assets referred to in Annexure A ('Domestic Transfer') and Annexure B ('International Transfer') of this form, together with any increases and accretions thereto, with all the powers of an absolute owner;
2. to sell, transfer, assign or otherwise dispose of any or all of the assets referred to in Annexure A and Annexure B and any increases or accretion thereto, in the manner instructed in Annexure A or Annexure B (as applicable);
3. to execute all transfers, assignments, deeds, agreements and other documents necessary to give effect to (1) and (2) above;
4. at my cost, to stamp and register this power of attorney where stamping or registration required in order to be an effective grant of power of attorney;

and I declare that this power shall remain and continue in force until the assets referred to in Annexure A and Annexure B are transferred to Netwealth and all information related to these transfers has been received by Netwealth, or else until notice of my death or the revocation of this power shall be received by Netwealth, whichever occurs first. Netwealth may appoint one or more substitute attorneys to exercise one or more of the powers given to Netwealth and may revoke any such appointments. I declare that all acts, matters and things done by Netwealth in exercising powers under this power of attorney will be as valid and effective as if they had been done by me.

I agree to ratify and confirm any action taken by Netwealth in the exercise of any powers under this power of attorney, including any action taken in the period between the revocation by any means of this power of attorney and such time as the fact of the revocation becomes known to Netwealth.

I indemnify Netwealth against any liability, loss, cost, charges or expenses arising from the valid exercise of any power conferred under this power of attorney.

I declare that a person (including, but not limited to, a firm, body corporate, unincorporated association or authority) who deals with Netwealth in good faith may accept a written statement signed by Netwealth to the effect that this power of attorney has not, to the knowledge of Netwealth, been revoked as conclusive evidence of that fact.

Netwealth may exercise a power under this power of attorney even if a benefit may be conferred on Netwealth as a direct or indirect result of or otherwise in connection with that exercise of power.

This deed is governed by the *Powers of Attorney Act 2014 (Vic)* and the other laws of the State of Victoria in Australia. I agree to submit to the non-exclusive jurisdiction of the courts of that place.

#### Joint holders

I agree and acknowledge that, if this form is signed by two or more persons (including where either or both does so as a trustee), then each of us appoints Netwealth Investments Limited as our attorney in accordance with the terms set out in this form.

Step 4. Limited Power of Attorney deed poll (Continued)

Signed, sealed and delivered by the person named above:

Each signatory to this form appoints Netwealth as their attorney in accordance with the terms set out in this form, in respect of the assets specified in Step 2 and Step 3 and Annexure A and Annexure B (including any additional pages inserted into this form).

Individual, Joint, or Individual trustee

Please select one:  Individual  Joint  Individual trustee

Person 1:

Name: [text box]
Signature: [text box]

Person 2 (if applicable):

Name: [text box]
Signature: [text box]

Executed as a deed poll DATE [ ] [ ] / [ ] [ ] / [ ] [ ]

Witness Declaration:

- I declare:
- I am over the age of 18
- I am not a party to the transfer
- This form has been signed and dated in my presence.

In the presence of:

Name of witness: [text box]
Signature of witness: [text box]

Company

⚠ If the grantor of this limited power of attorney is a company, this deed poll must be signed by all directors or a director and the company secretary. If the company has a sole director who is also the company secretary, that director must sign and this must be indicated on the form by writing 'sole director and company secretary'.

Director 1/Secretary:

Name: [text box]
Signature: [text box]

Director 2 (if applicable):

Name: [text box]
Signature: [text box]

Executed as a deed poll DATE [ ] [ ] / [ ] [ ] / [ ] [ ]

Step 5. Once complete please send to us

It is recommended that you retain a copy of the completed form for your own records. If you provide the form by uploading a copy through the Netwealth portal, please retain the original document for at least 7 years. Netwealth may need to request the original from you.

Send to us:

- 📍 Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205
📄 Activities & Tasks > Document Upload

For more information:

- 💻 netwealth.com.au 📞 1800 888 223 🗣️ Your adviser

# Wrap Service

## Foreign tax residency information

Please use **BLACK** pen and **BLOCK** letters.

In this form references to 'I', 'me', 'my' and 'you' apply to each client, and where applicable, to each signatory.

Please complete **ALL** fields in this form. If you are a joint investor, each investor must complete a separate copy of this form.

### Step 1. Provide client details

Investor name

Client number  Account number (if known)  W R A P

### Step 2. Foreign tax residency information

If you are a tax resident of more than one country other than Australia, please list all relevant countries below. You do not need to list any countries already provided in Step 3b of the 'Application' form.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

	Country	TIN	Type of Tax ID <sup>1</sup> (TIN, SSN or Other)	Exemption reason <sup>2</sup> (A, B or C)
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more countries, provide details on a separate sheet and tick this box

#### <sup>1</sup> Tax ID types

- TIN** Tax Identification Number
- SSN** Social Security Number
- Other** Other type of identification that is not a TIN or an SSN

#### <sup>2</sup> Exemption reasons:

- Reason A** The country of tax residency does not issue TINs to tax residents.
- Reason B** The individual has not been issued with a TIN.
- Reason C** The country of tax residency does not require the TIN to be disclosed.

Are you a US citizen or resident of the US for tax purposes? Yes  No

**!** If you are a US Specified Person you must provide a TIN (the account will not be opened without a TIN).

### Step 3. Read and sign this client declaration

By submitting this instruction, I represent to Netwealth that all the details in this form are true and correct and I declare that:

- I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant Disclosure Documents that are current as at the date I sign this form;
- I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this transaction and/or my investments in Wealth Accelerator; and
- I agree to provide Netwealth with an updated 'Foreign Tax Residency information' form within 30 days where any change in circumstances occurs that would cause any information contained in this form to be inaccurate or incomplete.

Signature  Date  /  /

It is recommended that you retain a copy of the completed form for your own records.

### Step 4. Once complete please send to us

- Send to us:** Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205
- For more information:** [netwealth.com.au](http://netwealth.com.au) 1800 888 223 Your adviser

