Wrap Services
Once-only / Fixed term advice fee
Instruction

Please use **BLACK** pen and **BLOCK** letters.

In this form references to 'I', 'me' and 'my' include individual investors, joint investors and corporate investors. Your Adviser is the Nominated Financial Adviser on your account.

By completing this form, you authorise and direct Netwealth to pay the advice fees set out in this form to the AFS Licensee for whom your Adviser acts as an authorised representative (whoever that may be from time to time) and you consent to some or all of this amount being paid by the AFS Licensee to your Adviser (or their nominee).

You should read the current disclosure documents for the product in which your account is held as there may have been changes to the terms and conditions governing this transaction. The current disclosure documents are available on our website.

Step 1. Client details

Client name

Client number

Account number W R A P

Step 2. Your Nominated Financial Adviser's name

Adviser name

Adviser code

Step 3. Automatic Adviser Representative nomination and agency agreement

I nominate the Financial Adviser named in Step 2 as my Adviser Representative who will be empowered to act on my behalf on matters relating to my account (including receiving documents on my behalf) unless I inform Netwealth in writing that I do not want my Nominated Financial Adviser to be my Adviser Representative (either by ticking the box below or by advising Netwealth in writing). My Nominated Financial Adviser, as my Adviser Representative, may authorise officers or employees of the Nominated Financial Adviser to give Netwealth instructions in relation to my account. If my Nominated Financial Adviser changes their AFS Licensee, the Nominated Financial Adviser is authorised to continue as my Adviser Representative so long as the new AFS Licensee is registered by Netwealth. I have noted the important warning regarding Adviser Representatives in the disclosure documents. I confirm and agree to the release of Netwealth from liability in relation to actions by my Adviser Representative as described in the disclosure documents.

Tick this box only if you do **NOT** wish for your Nominated Financial Adviser to have authority to act as your Adviser Representative.

☐ I do **NOT** wish for my Nominated Financial Adviser to be my Adviser Representative.

If you do not authorise your Nominated Financial Adviser to act as your Adviser Representative your Nominated Financial Adviser will still have access to your account but will be unable to perform any transactions on your account. This nomination replaces any previous Nominated Financial Adviser on your account.

Step 4. Instruction to pay once-only advice fee

The following once-only advice fee will be paid from your account listed in Step 1.

Fee amount $, . 0 0

Description[1] [2]

☐ Once-only advice fee ☐ Insurance advice fee ☐ Strategic advice fee

☐ Financial plan fee ☐ Investment advice fee

[1] This description will appear on your statement.

[2] Depending on the fee description you select, the fee may be processed as a non-deductible fee and will appear on your annual tax statement as a non-taxable item.

This fee will be charged in addition to any existing fee agreements currently in place for this account. If an existing fee arrangement is to be removed, please complete Step 6 of this form and list the effective date for the removal of this fee.

If no fee description is selected, the fee will be processed with the default description of "Advice Fee".

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Step 5. Instruction to pay fixed term advice fees

Fixed term advice fees are payable for a maximum of 12 months from the start date. The fee arrangement will cease on the end date. Fixed term advice fees are not treated by Netwealth as an ongoing fee arrangement. The following fixed term advice fees will be paid from your account listed in Step 1.

Start date DD / MM / YY End date DD / MM / YY

The start date cannot be prior to the first of this month and the end date cannot be more than 12 months from the start date.

Fixed rate fixed term advice fee based on your total account balance (including GST)

Fixed percentage rate ☐.☐☐☐☐ % p.a.

This is a percentage per year of the total account balance, paid monthly based on the daily total account balance.

☐ Tick this box to include your non-custodial asset balance in the fee calculation.

Tiered rate fixed term advice fee based on your total account balance (including GST)

A tiered rate fixed term advice fee cannot be combined with a fixed rate fixed term advice fee.

From $ ___,___,___.00	to $ ___,___,___.00	___.____	% p.a.
From $ ___,___,___.00	to $ ___,___,___.00	___.____	% p.a.
From $ ___,___,___.00	to $ ___,___,___.00	___.____	% p.a.
From $ ___,___,___.00	to $ ___,___,___.00	___.____	% p.a.
From $ ___,___,___.00	to $ ___,___,___.00	___.____	% p.a.
From $ ___,___,___.00	to the balance of your account	___.____	% p.a.

This is a percentage per year, paid monthly based on the daily total account balance.

☐ Tick this box to include your non-custodial asset balance in the fee calculation.

Fixed dollar fixed term advice fee (including GST)

Total fixed dollar amount[3] $ ___,___.00 This is the total dollar amount that will be deducted for the fixed term

Payment frequency[4] ☐ Monthly ☐ Quarterly ☐ Half yearly ☐ Yearly

Fixed rate fixed term advice fee based on your managed account balance (including GST)

Fixed percentage rate ☐.☐☐☐☐ % p.a.

Your Adviser estimates that this fee will be approximately $ ___,___.00 per month based on the average total account balance expected to be held in your account over the 12 months.

Fixed rate fixed term advice fee based on your total account balance excluding your managed account balance (including GST)[5]

Fixed percentage rate ☐.☐☐☐☐ % p.a.

Your Adviser estimates that this fee will be approximately $ ___,___.00 per month based on the average total account balance expected to be held in your account over the 12 months.

[3] The total fixed dollar amount is divided by the number of days in the fixed term to determine a daily fee rate. The amount deducted per period is based on a pro-rata calculation: daily fee rate multiplied by the number of days in the period and paid at the end of the payment frequency. For example a yearly fee will be paid at the end of the term.

[4] Payment frequency can only be set to monthly if the term is less than 12 months.

[5] This option cannot be used together with a fixed or tiered rate advice fee based on your total account balance.

Step 6. Cancellation of existing adviser fee arrangements

The Fixed Term Fee arrangement currently in place will be removed effective the day prior to the commencement of the new Fixed Term Fee arrangement. If the current arrangement is to remain in place longer that the day prior to the new arrangement, please tick the below box and list the preferred end date of the existing arrangement:

☐ Tick this box to remove existing fees as of this date DD / MM / YY

This should be a date that is after this form is submitted and before the end of the month this form is processed. If you do not enter a date, the existing fee will be calculated and paid up until the day before the new fixed term start date stated on this form.

Cancellation must be provided 5 business day prior to end of the month if not fees will only be cancelled the following month.

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Step 7. Read and sign this client declaration

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:

1. I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant disclosure documents that are current as at the date I sign this form, in particular the fees and costs section;
2. I have agreed with my Nominated Financial Adviser to pay the advice fees outlined in Steps 4 and 5 and I authorise and direct Netwealth to pay these amounts to the AFS Licensee for whom my Nominated Financial Adviser acts as an authorised representative (whoever that may be from time to time) and I consent to some or all of this amount being paid by the AFS Licensee to my Nominated Financial Adviser (or their nominee);
3. This authority will continue unless revoked in writing by me; and
4. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this transaction and/or my investments held through the Wrap Services.

Account signatory one full name

Position/title ☐ Individual ☐ Director ☐ Sole director & sole company secretary

☐ Other

Signature

Date D D / M M / Y Y

Account signatory two (if applicable)

Position/title ☐ Individual ☐ Director ☐ Company secretary

☐ Other

Signature

Date D D / M M / Y Y

Companies may sign in accordance with their constitution and the law. If you require more than 2 signatories, please copy this page.

It is recommended that you retain a copy of the completed form for your own records.

Step 8. Once complete please send to us

Send to us: contact@netwealth.com.au

Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205

Activities & Tasks > Document Upload

For more information: netwealth.com.au

1800 888 223

Your adviser

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