

# Wrap Services

## Ongoing advice fee arrangement

### Consent

Please use **BLACK** pen and **BLOCK** letters.

In this form references to 'I', 'me' and 'my' include individual investors, joint investors and corporate investors. Your Adviser is the Nominated Financial Adviser on your account.

By completing this form:


- You can provide or renew consent to an ongoing fee arrangement under which your Adviser will arrange the deduction of ongoing fees from your account; and
- You authorise and direct Netwealth to pay the ongoing advice fees set out in this form to the AFS Licensee for whom your Adviser acts as an authorised representative (whoever that may be from time to time) and you consent to some or all of this amount being paid by the AFS Licensee to your Adviser (or their nominee).

An ongoing fee arrangement is a fee arrangement that is intended to continue to apply for more than 12 months and subject to annual renewal.

#### Consent to deduct fees

These fees are for services provided to you by your Adviser. Your Adviser is required to obtain your written consent before the ongoing fees can be deducted from your account. This form can be used to provide that consent. If you are not happy with the amount described, you do not have to sign this form, in which case the fees will not be paid to your Adviser. You may vary or withdraw your consent at any time by contacting your Adviser in writing or notifying Netwealth.

A separate consent form must be provided for each account.

-  You should read the current disclosure documents for the product in which your account is held as there may have been changes to the terms and conditions governing this transaction. The current disclosure documents are available on our website.

#### Step 1. Client details

Client name	<input type="text"/>		
	<input type="text"/>		
Client number	<input type="text"/>	Account number	<input type="text" value="W R A P"/>

#### Step 2. Your Nominated Financial Adviser's name and contact details (fee recipient)

Adviser name	<input type="text"/>		
	<input type="text"/>		
Adviser code	<input type="text"/>		
Adviser Email	<input type="text"/>		
	<input type="text"/>		
Adviser Phone	( <input type="text"/> )	<input type="text"/>	
AFS Licensee name	<input type="text"/>		
	<input type="text"/>		
AFS Licensee number	<input type="text"/>		

#### Step 3. Automatic Adviser Representative nomination and agency agreement

I nominate the Financial Adviser named in Step 2 as my Adviser Representative who will be empowered to act on my behalf on matters relating to my account (including receiving documents on my behalf) unless I inform Netwealth in writing that I do not want my Nominated Financial Adviser to be my Adviser Representative (either by ticking the box below or by advising Netwealth in writing). My Nominated Financial Adviser, as my Adviser Representative, may authorise officers or employees of the Nominated Financial Adviser to give Netwealth instructions in relation to my account. If my Nominated Financial Adviser changes their AFS Licensee, the Nominated Financial Adviser is authorised to continue as my Adviser Representative so long as the new AFS Licensee is registered by Netwealth. I have noted the important warning regarding Adviser Representatives in the disclosure documents. I confirm and agree to the release of Netwealth from liability in relation to actions by my Adviser Representative as described in the disclosure documents.

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### Step 3. Automatic Adviser Representative nomination and agency agreement (Continued)

Tick this box only if you do **NOT** wish for your Nominated Financial Adviser to have authority to act as your Adviser Representative.

I do **NOT** wish for my Nominated Financial Adviser to be my Adviser Representative.

**▲** If you do not authorise your Nominated Financial Adviser to act as your Adviser Representative your Nominated Financial Adviser will still have access to your account but will be unable to perform any transactions on your account. This nomination replaces any previous Nominated Financial Adviser on your account.

### Step 4. Consent to an ongoing fee arrangement

I / we wish to (select one):

**Consent to a new** ongoing fee arrangement.  
Any existing fee arrangement will be replaced by the new arrangement.

**Renew** consent to the current ongoing fee arrangement.  
The renewal period for your current ongoing fee arrangement starts from 60 days before and ends 150 days after your current reference date.

Consent is provided on the date this form is signed.

### Step 5. Reference date and termination date

For all ongoing fee arrangements you must provide a reference date.

The **reference date** is the date that sets your renewal period.

- For new ongoing fee arrangements, it can be any date within 12 months of commencing the arrangement.
- For existing ongoing fee arrangements, it must be within 12 months after your last agreed reference date.

The **termination date** is 150 days after the reference date. The termination date is the date we will automatically stop deducting ongoing advice fees from your account and no further advice will be provided to you.

Next reference date: (DD/MM/YY)

Termination date: (DD/MM/YY)

### Step 6. Ongoing advice fee details

#### Fixed rate ongoing advice fee based on your total account balance (including GST)<sup>1</sup>

Fixed percentage rate  % p.a.

Tick this box to include your non-custodial asset balance in the fee calculation.

Your Adviser estimates that this fee will be approximately \$  per month based on the average total account balance expected to be held in your account over the 12 months.

#### Tiered rate ongoing advice fee based on your total account balance (including GST)<sup>1</sup>

A tiered rate ongoing advice fee cannot be combined with a fixed rate ongoing advice fee.

From \$  to \$   % p.a.

From \$  to \$   % p.a.

From \$  to \$   % p.a.

From \$  to \$   % p.a.

From \$  to \$   % p.a.

From \$  to the balance of your account  % p.a.

Tick this box to include your non-custodial asset balance in the fee calculation.

Your Adviser estimates that this fee will be approximately \$  per month based on the average total account balance expected to be held in your account over the 12 months.

<sup>1</sup> For new ongoing fixed percentage rate and tiered rate, the fees will start from the current month the form is processed or from the proposed reference date. Netwealth will not calculate any backdated fees. This fee is calculated based on the daily total account balance, percentage per year, and paid monthly.

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### Step 6. Consent to an ongoing fee arrangement (continued)

#### Fixed dollar ongoing advice fee (including GST)

Fixed dollar amount \$  per payment period (e.g. if you tick 'Monthly' below, this amount will be paid each month)

Payment frequency  Monthly  Quarterly  Half yearly  Yearly

Start date (MM/YY)  (if no date is entered, we will make the first fee payment on the date this form is processed by us)

You may choose to index the fixed dollar amount annually by completing the following (optional)

Indexed annually by  None **OR**  CPI<sup>2</sup> **OR**  A fixed rate of  %

**OR**  The lesser of CPI<sup>2</sup> and  %

**OR**  The greater of CPI<sup>2</sup> and  %

Fee to be first indexed on 31 Mar (YY)  **OR** 30 Jun (YY)  **OR** 30 Sep (YY)  **OR** 31 Dec (YY)

**!** If you have chosen to index the ongoing advice fee, you must select a date for the fee to first be indexed on. If no selection is made, the fee will be indexed 12 months from the end of the next applicable quarter.

Your Adviser estimates that for the 12 months from the date the fee arrangement commences or is renewed and including the impact of indexation (if any), this fee will be approximately \$  per payment period.

<sup>2</sup> CPI will be calculated as the rate of increase in All Groups Consumer Price Index for Australian capital cities published by the Australian Bureau of Statistics for the 12 months to the end of the calendar quarter immediately preceding the date of increase.

#### Fixed rate ongoing advice fee based on your managed account balance (including GST)

Fixed percentage rate  % p.a.

Your Adviser estimates that this fee will be approximately \$  per month based on the average total account balance expected to be held in your account over the 12 months.

#### Fixed rate ongoing advice fee based on your total account balance excluding your managed account balance (including GST)<sup>3</sup>

Fixed percentage rate  % p.a.

Your Adviser estimates that this fee will be approximately \$  per month based on the average total account balance expected to be held in your account over the 12 months.

<sup>3</sup> This option cannot be used together with a fixed or tiered rate advice fee based on your total account balance.

#### Advice fee based on Australian share trades (including GST)

% of each trade amount

**OR** \$  from each trade

**OR** An amount which is the greater of  % and \$  from each share trade.

Your Adviser estimates that for the 12 months from the date the fee arrangement commences or is renewed, this fee will be approximately \$

This estimate is based on the number and value of trades that your Adviser estimates will occur over the 12 month period.

This advice fee based on Australian share trades applies to trades executed using our nominated platform broker. This advice fee will not apply to Managed Accounts rebalancing trades, or to trades executed with an external broker. This advice fee does not apply to non-custodial assets.

**!** The Australian listed securities – brokerage fee will also apply.

### Step 7. Services for which advice fees are payable

For all ongoing fee arrangements, your adviser must disclose the services you will receive under this ongoing fee arrangement.

Please select one:

**Services disclosed in a separate document**

My adviser has already disclosed the services I will receive under this ongoing fee arrangement in a separate document.  
(If no option is selected, this will be deemed selected)

**Services are described below**

The services to be provided under this ongoing fee arrangement are:

### Step 8. Read and sign this client declaration

By submitting this instruction, I represent to Netwealth that all the details in this transaction are true and correct and I declare that:







1. I have received, read and understood and agree to be bound by the terms and conditions set out in the relevant disclosure documents that are current as at the date I sign this instruction;
2. I have agreed with my Nominated Financial Adviser to pay the advice fees outlined in Step 6 and I consent to the deduction of these fees from the account specified in Step 1.
3. I authorise and direct Netwealth to pay these amounts to the AFS Licensee for whom my Nominated Financial Adviser acts as an authorised representative (whoever that may be from time to time) and I consent to some or all of this amount being paid by the AFS Licensee to my Nominated Financial Adviser (or their nominee);
4. This authority will continue unless revoked in writing by me. I understand I can terminate the ongoing fee arrangement at any time by giving written notice to my Nominated Financial Adviser. Termination of the ongoing fee arrangement is effective immediately upon giving such notice. I agree to also provide a copy of any termination notice to Netwealth at the same time, so that fee deductions can be stopped promptly; and
5. I authorise Netwealth to disclose to my Nominated Financial Adviser (if applicable) information regarding this transaction and/or my investments held through the Wrap Services.
6. My Nominated Financial Adviser has disclosed the services that will be provided to me/us regarding this ongoing advice fee arrangement.

<b>Account signatory one full name</b>	<input type="text"/>		
	<input type="text"/>		
Position/title	<input type="checkbox"/> Individual	<input type="checkbox"/> Director	<input type="checkbox"/> Sole director & sole company secretary
	<input type="checkbox"/> Other	<input type="text"/>	
Signature	<input type="text"/>		Date (DD/MM/YY) <input type="text"/>
<b>Account signatory two (if applicable)</b>	<input type="text"/>		
	<input type="text"/>		
Position/title	<input type="checkbox"/> Individual	<input type="checkbox"/> Director	<input type="checkbox"/> Company secretary
	<input type="checkbox"/> Other	<input type="text"/>	
Signature	<input type="text"/>		Date (DD/MM/YY) <input type="text"/>

Companies may sign in accordance with their constitution and the law. If you require more than 2 signatories, please copy this page.

It is recommended that you retain a copy of the completed form for your own records.

### Step 9. Once complete please send to us

<b>Send to us:</b>	 <a href="mailto:contact@netwealth.com.au">contact@netwealth.com.au</a>	 <a href="#">Netwealth Investments Limited, Reply Paid 336, South Melbourne VIC 3205</a>	
	 <a href="#">Activities &amp; Tasks &gt; Document Upload</a>		
<b>For more information:</b>	 <a href="http://netwealth.com.au">netwealth.com.au</a>	 1800 888 223	 <a href="#">Your adviser</a>