

# Relevant Interests and Duties

31 December 2018

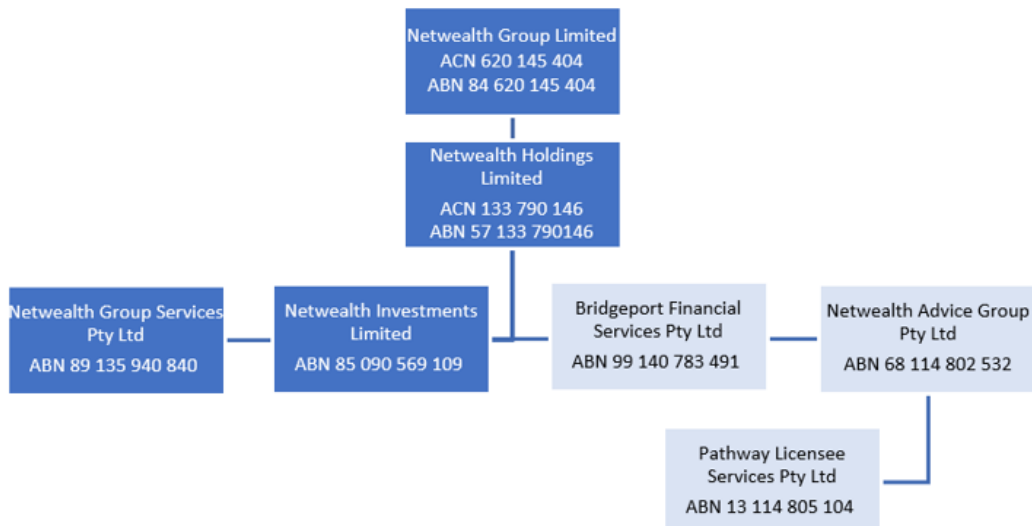
Netwealth Investments Limited  
 ABN 85 090 569 109  
 Level 8/52 Collins Street  
 Melbourne VIC 3000  
 PO Box 336  
 South Melbourne VIC 3205

## Netwealth Investments Limited (NIL)

### Company Structure

Netwealth Group Limited is the parent entity of NIL. Netwealth Group Limited is listed on the ASX.

Netwealth Group's Limited Corporate Structure (as at 31 December 2018):



## Relevant Interests and Duties

Responsible Person	Directorships / Position***	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
<b>Jane Tongs</b> (Non- Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Australian Prime Property Fund Investor</li> <li>- Brighton Grammar School ABN 61 004 117 668</li> <li>- Catholic Church Insurances Ltd ABN 76 000 005 210</li> <li>- CCI Asset Management Limited ABN 65 006 685</li> <li>- Cromwell Property Group Pty Ltd ABN 36 600 000 477</li> <li>- Daybreak Cropping Pty Ltd ABN 25 614 043 873</li> <li>- Warakirri Agricultural Farm Trust II ABN 58 128 446 181</li> <li>- Warakirri Agricultural Land Trust II ABN 21 177 100 857</li> <li>- Warakirri Asset Management Pty Ltd ABN 33 057 529 370</li> <li>- Warakirri Dairies Ltd ABN 55 121 510 067</li> <li>- The Hollard Insurance Company Pty Ltd ABN 78 090 584 473</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Shareholder NGL and family shareholdings NGL</li> <li>Account holder in NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Chair: <ul style="list-style-type: none"> <li>- NIL Board</li> <li>- NIL Investment Committee</li> <li>- Group Nomination Committee</li> </ul> </li> <li>Member: <ul style="list-style-type: none"> <li>- NGL Compliance and Risk Management Committee</li> <li>- Group Remuneration Committee</li> <li>- Group Audit Committee</li> </ul> </li> </ul>
<b>Davyd Lewis</b> (Non-Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Shareholder NGL</li> <li>Account holder in NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Chair: <ul style="list-style-type: none"> <li>- NGL Compliance and Risk Management Committee</li> <li>- NIL Due Diligence Committee</li> <li>- Group Remuneration Committee</li> </ul> </li> <li>Member: <ul style="list-style-type: none"> <li>- NIL Board</li> <li>- Group Audit Committee</li> <li>- Group Nomination Committee</li> </ul> </li> </ul>
<b>Michael Heine</b> (Managing Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Australian Planning Services Pty Ltd ABN 55 010 521 810</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Heine Brothers Pty Ltd ABN 28 006 819 718</li> <li>- Heine Fiduciary Service Pty Ltd ACN 627 727 851</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Majority shareholder NGL and family NGL shareholdings</li> <li>Account holder NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Member: <ul style="list-style-type: none"> <li>- NIL Board</li> <li>- NIL Investment Committee</li> </ul> </li> </ul>

\* Gifts and benefits, received in FY2017, FY2018 and to 31 December 2018 are disclosed where their value was greater than \$300.

\*\* Duties to NIL include: RSE of the Super Master Fund, Operator of the IDPS Wrap and Responsible Entity of the Managed Funds and Managed Account.

\*\*\* Directorships include all paid positions (family trusts are not listed).



Responsible Person	Directorships / Position***	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
<b>Matt Heine</b> (Managing Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Australian Planning Services Pty Ltd ABN 55 010 521 810</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> </ul> <p>Other positions held:</p> <ul style="list-style-type: none"> <li>- Committee member Association of Financial Advisers (Vic)</li> </ul> <p>Matt also has an investment in Fintech company 'NOD'</p>	<p>Directors fees and superannuation</p> <p>Shareholder NGL and family NGL shareholdings</p> <p>Account holder in NIL products and family held NIL accounts</p> <p>No gift or benefit disclosed*</p>	<p>Member:</p> <ul style="list-style-type: none"> <li>- NIL Board</li> </ul>
<b>Tim Antonie</b> (Non-Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Breville Group Ltd ABN 90 086 933 431</li> <li>- Premier Investments Ltd ABN 64 006 727 966</li> <li>- Village Roadshow Ltd ABN 43 010 672 052</li> <li>- Stratford Advisory Group Holdings Pty Ltd ABN 18 610 354 222</li> </ul>	<p>Directors fees and superannuation</p> <p>Account holder in NIL products</p> <p>No gift or benefit disclosed*</p>	<p>Chair:</p> <ul style="list-style-type: none"> <li>- Group Audit Committee</li> </ul> <p>Member:</p> <ul style="list-style-type: none"> <li>- NIL Board</li> <li>- NIL Investment Committee</li> <li>- NGL Compliance and Risk Management Committee</li> <li>- Group Remuneration Committee</li> <li>- Group Nomination Committee</li> </ul>
<b>Grant Boyle</b>	<p>Current Position</p> <ul style="list-style-type: none"> <li>- Chief Financial Officer</li> <li>- Company Secretary for NIL, NHL, NWL &amp; NGS</li> </ul>	<p>Salary, superannuation and NGL shareholder</p> <p>Account holder in NIL products</p> <p>Gifts of Benefits*</p> <ul style="list-style-type: none"> <li>- Australian Open 2018</li> <li>- Oaks Day Event 2017</li> </ul>	
<b>Rachel Axton</b>	<p>Current Position</p> <ul style="list-style-type: none"> <li>- General Manager Legal, Risk and Compliance</li> <li>- Company Secretary for NIL, NHL, NWL, NGS, BFS, PLS, NAG</li> </ul>	<p>Salary, superannuation and NGL shareholder</p> <p>Account holder in NIL products</p> <p>Gifts of Benefits*</p> <ul style="list-style-type: none"> <li>- Ballet 2018</li> </ul>	<p>Member:</p> <ul style="list-style-type: none"> <li>- NGL Compliance and Risk Management Committee</li> </ul>

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\*\* Duties to NIL include: RSE of the Super Master Fund, Operator of the IDPS Wrap and Responsible Entity of the Managed Funds and Managed Account.

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Responsible Person	Directorships / Position***	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
John Hanrahan	Current Position - Chief Information Officer	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	
Philip Coldwell	Current Position - General Manager Product	Salary, superannuation and NGL shareholder  Family NGL shareholdings  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	Member: - NIL Due Diligence Committee
Alistair Densley	Current Position - General Manager Operations	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	
Andrew Holden	Current Position - General Manager Finance Operations	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  Gifts of Benefits* - Australian Open 2018 - Oaks Day Event 2018	
Paul Hagger	Current Position - General Manager Custody	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	

\* Gifts and benefits, received in FY2017, FY2018 and to 31 December 2018 are disclosed where their value was greater than \$300.

\*\* Duties to NIL include: RSE of the Super Master Fund, Operator of the IDPS Wrap and Responsible Entity of the Managed Funds and Managed Account.

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