

# Relevant Interests and Duties

30 June 2019

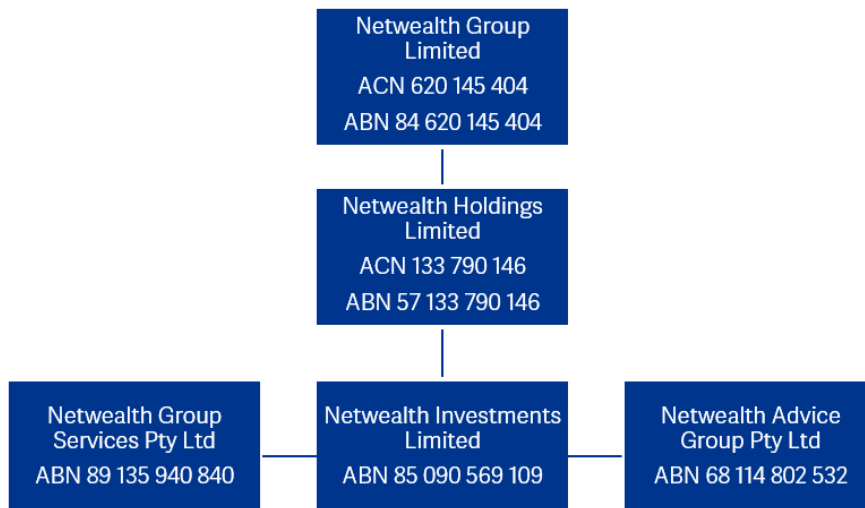
Netwealth Investments Limited  
ABN 85 090 569 109  
Level 8/52 Collins Street  
Melbourne VIC 3000  
PO Box 336  
South Melbourne VIC 3205

## Netwealth Investments Limited (NIL)

### Company Structure

Netwealth Group Limited is the parent entity of NIL. Netwealth Group Limited is listed on the ASX.

Netwealth Group's Limited Corporate Structure (as at 30 June 2019):



## Relevant Interests and Duties

Responsible Person	Directorships / Position	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
<b>Jane Tongs</b> (Non- Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Australian Prime Property Fund Investor Committees</li> <li>- Brighton Grammar School ABN 61 004 117 668</li> <li>- Catholic Church Insurances Ltd ABN 76 000 005 210</li> <li>- Cromwell Property Group Pty Ltd ABN 36 600 000 477</li> <li>- Daybreak Cropping Pty Ltd ABN 25 614 043 873</li> <li>- Warakirri Agricultural Farm Trust II ABN 58 128 446 181</li> <li>- Warakirri Agricultural Land Trust II ABN 21 177 100 857</li> <li>- Warakirri Asset Management Pty Ltd ABN 33 057 529 370</li> <li>- Aurora Dairies Ltd ABN 55 121 510 067</li> <li>- The Hollard Insurance Company Pty Ltd ABN 78 090 584 473</li> <li>- Tongs Nominees Pty.Ltd. ACN 079 012 258</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Shareholder NGL and family shareholdings NGL</li> <li>Account holder in NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Chair:</li> <li>- NIL Board</li> <li>- NIL Investment Committee</li> <li>- Group Nomination Committee</li> <li>Member:</li> <li>- NGL Compliance and Risk Management Committee</li> <li>- Group Remuneration Committee</li> <li>- Group Audit Committee</li> </ul>
<b>Davyd Lewis</b> (Non-Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Shareholder NGL</li> <li>Account holder in NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Chair:</li> <li>- NGL Compliance and Risk Management Committee</li> <li>- NIL Due Diligence Committee</li> <li>- Group Remuneration Committee</li> <li>Member:</li> <li>- NIL Board</li> <li>- Group Audit Committee</li> <li>- Group Nomination Committee</li> </ul>
<b>Michael Heine</b> (Managing Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Heine Brothers Pty Ltd ABN 28 006 819 718 (and Secretary)</li> <li>- Heine Fiduciary Services Pty Ltd ACN 627 727 851 (and Secretary)</li> <li>- Australian Planning Services Pty Ltd ABN 55 010 521 810</li> <li>- Lesmic Nominees Pty. Ltd. ACN 004 978 036 (and Secretary)</li> <li>- Meyer Heine Line Pty Ltd ACN 628 192 647 (and Secretary)</li> <li>- MMH Investment Holdings Pty Ltd ABN 37 138 015 826 (and Secretary)</li> <li>- Net Wealth Dot Com Pty. Ltd. ABN 72 004 892 915 (and Secretary)</li> <li>- Netjets Pty.Ltd. ABN 75 117 787 569 (and Secretary)</li> </ul>	<ul style="list-style-type: none"> <li>Directors fees and superannuation</li> <li>Majority shareholder NGL and family NGL shareholdings</li> <li>Account holder NIL products and family held NIL accounts</li> <li>No gift or benefit disclosed*</li> </ul>	<ul style="list-style-type: none"> <li>Member:</li> <li>- NIL Board</li> <li>- NIL Investment Committee</li> </ul>

\* Gifts and benefits, received in FY2018 and to 30 June 2019 are disclosed where their value was greater than \$300.

\*\* Duties to NIL include: RSE of the Super Master Fund, Operator of the IDPS Wrap and Responsible Entity of the Managed Funds and Managed Account.

Responsible Person	Directorships / Position	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
	<ul style="list-style-type: none"> <li>- Swanston Mortgage Pty.Ltd. ABN 61 004 921 135 (and Secretary)</li> <li>- Wealth Investments Pty.Ltd. ACN 004 189 669 (and Secretary)</li> </ul>		
<b>Matt Heine</b> (Managing Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>-</li> <li>- Heine Brothers Pty Ltd ABN 28 006 819 718</li> <li>- Heine Sight Superannuation Pty Ltd ACN 633 205 562</li> <li>- Heine Fiduciary Service Pty Ltd ACN 627 727 851</li> <li>- Australian Planning Services Pty Ltd ACN 010 521 810</li> <li>- Heine Sight Investments Pty Ltd ACN 605 730 667 (and Secretary)</li> <li>- Heine Sight Investments No.2 Pty Ltd ACN 628 343 831 (and Secretary)</li> <li>- Heine Sight Nominees Pty Ltd 628 343 224 (and Secretary)</li> </ul> <p>Other positions held:</p> <ul style="list-style-type: none"> <li>- Committee member Association of Financial Advisers (Vic)</li> </ul> <p>Matt also has an investment in Fintech company 'NOD'</p>	<p>Directors fees and superannuation</p> <p>Shareholder NGL and family NGL shareholdings</p> <p>Account holder in NIL products and family held NIL accounts</p> <p>Gifts or benefits*</p> <ul style="list-style-type: none"> <li>- Australian Open 2019</li> </ul>	<p>Member:</p> <ul style="list-style-type: none"> <li>- NIL Board</li> </ul>
<b>Tim Antonie</b> (Non-Executive Director)	<ul style="list-style-type: none"> <li>- Netwealth Investments Ltd ABN 85 090 569 109</li> <li>- Netwealth Holdings Ltd (NHL) ABN 57 133 790 146</li> <li>- Netwealth Group Limited (NWL) ABN 84 620 145 404</li> <li>- Netwealth Group Services Pty Ltd ABN 89 135 940 840</li> <li>- Bridgeport Financial Services Pty Ltd ABN 99 140 783 491</li> <li>- Pathway Licensee Services Pty Ltd ABN 13 114 805 104</li> <li>- Netwealth Advice Group Pty Ltd ABN 68 144 802 532</li> <li>- Breville Group Ltd ABN 90 086 933 431</li> <li>- Premier Investments Ltd ABN 64 006 727 966</li> <li>- Stratford Advisory Group Holdings Pty Ltd ABN 18 610 354 222 (and Secretary)</li> <li>- Antonie Consultancy and Investment Pty Ltd ACN 091 360 333</li> <li>- Antonie Holdings Pty Ltd ACN 608 735 726 (and Secretary)</li> <li>- Antonie Nominees Pty Ltd ACN 607 958 396 (and Secretary)</li> <li>- Bridgehurst Pty Ltd ACN 609 439 821</li> <li>- Ferryhurst Pty Ltd ACN 612 824 978</li> <li>- Mernda Junction Shopping Centre Pty Ltd ACN 609 041 090</li> <li>- Mernda Junction Holdings Pty Ltd ACN 609 439 778</li> <li>- Postspring Pty Ltd ACN 161 633 585</li> <li>- SHSC Holdings Pty Ltd ACN 154 405 464</li> <li>- TMA Trading Pty Ltd ACN 625 684 291 (and Secretary)</li> </ul>	<p>Directors fees and superannuation</p> <p>Account holder in NIL products</p> <p>No gift or benefit disclosed*</p>	<p>Chair:</p> <ul style="list-style-type: none"> <li>- Group Audit Committee</li> </ul> <p>Member:</p> <ul style="list-style-type: none"> <li>- NIL Board</li> <li>- NIL Investment Committee</li> <li>- NGL Compliance and Risk Management Committee</li> <li>- Group Remuneration Committee</li> <li>- Group Nomination Committee</li> </ul>

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Responsible Person	Directorships / Position	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
Grant Boyle	Current Position - Chief Financial Officer - Company Secretary for NIL, NHL, NWL & NGS	Salary, superannuation and NGL shareholder  Account holder in NIL products  Gifts or Benefits* - Australian Open 2018 - Oaks Day Event 2017	
Rachel Axton	Current Position - General Manager Legal, Risk and Compliance - Company Secretary for NIL, NHL, NWL, NGS, BFS, PLS, NAG	Salary, superannuation and NGL shareholder  Account holder in NIL products  Gifts or Benefits* - Ballet 2018 - Grand Prix 2019	Member: - NGL Compliance and Risk Management Committee
John Hanrahan	Current Position - Chief Information Officer  Little Rock Nominees Pty. Limited ACN 005 337 619 (Director and Secretary)	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	
Philip Coldwell	Current Position - General Manage Product  PDC Planning Services Pty Ltd ACN 125 847 154 (Director and Secretary) Silver Projects Pty.Ltd. ACN 082 355 539 (Director and Secretary)	Salary, superannuation and NGL shareholder  Family NGL shareholdings  Account holder in NIL products and family held NIL accounts  Gifts or Benefits* - Grand Prix 2019	Member: - NIL Due Diligence Committee
Alistair Densley	Current Position - General Manager Operations	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	

\* Gifts and benefits, received in FY2018 and to 30 June 2019 are disclosed where their value was greater than \$300.

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Responsible Person	Directorships / Position	Relevant Disclosed Personal Interests	Relevant Duties** to NIL
<b>Andrew Holden</b>	Current Position - General Manager Finance Operations	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  Gifts of Benefits* - Australian Open 2018 - Oaks Day Event 2018	
<b>Paul Hagger</b>	Current Position - General Manager Custody	Salary, superannuation and NGL shareholder  Account holder in NIL products and family held NIL accounts  No gift or benefit disclosed*	
<b>Amanda Atkinson</b>	Current Position - Head of Investor Services	Salary, superannuation and NGL shareholder  Account holder in NIL products  Gifts or Benefits* - Oaks Day Event 2017	

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