

Approved international securities - Hong Kong Hong Kong Stock Exchange

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Costs of trading on the Hong Kong Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the Hong Kong Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
HKE	Hong Kong	Stamp Duty 0.10% rounded up to nearest HKD1.00 Trading Fee 0.00565% Transaction Levy 0.0027% FRC Transaction Levy 0.00015% Italian Financial Transaction Tax HK 0.10% (Italian registered stocks)

These costs known to Netwealth as at 05th February 2024. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

Trading Lots on the Hong Kong Stock Exchange

On the Hong Kong Stock Exchange, trades are executed in lot sizes. Where some exchanges have one set number, the HKE trading lot sizes for each listed security is determined by the issuer. These lot sizes are noted below as per the Hong Kong Exchange's released information. Orders that are submitted for amounts outside the official trading lot sizes ("odd lots") may be subject to alternative trading arrangements and may not have the same liquidity and pricing.

Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at www.netwealth.com.au or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

Approved Securities

Security Name	Ticker	Super investment limit	Trading Lot Sizes
Agricultural Bank of China Limited Class H	1288	10%	1,000
AIA Group Limited	1299	10%	200
Air China Limited Class H	753	10%	2,000
Alibaba Group Holding Limited	9988	10%	100
Anhui Conch Cement Company Limited Class H	914	10%	500
ANTA Sports Products Ltd.	2020	10%	200
Baidu, Inc. Class A	9888	10%	50
Bank of China Limited Class H	3988	10%	1,000
Bank of Communications Co., Ltd. Class H	3328	10%	1,000
BeiGene Ltd	6160	10%	100
BOC Hong Kong (Holdings) Limited	2388	10%	500
Budweiser Brewing Co. APAC Ltd.	1876	10%	100
BYD Company Limited Class H	1211	10%	500
CGN Power Co., Ltd. Class H	1816	10%	1,000
China CITIC Bank Corporation Ltd Class H	998	10%	1,000
China Coal Energy Co. Ltd. Class H	1898	10%	1,000
China Communications Construction Co. Ltd. Class H	1800	10%	1,000
China Construction Bank Corporation Class H	939	10%	1,000
China Everbright Bank Co., Ltd. Class H	6818	10%	1,000
China Galaxy Securities Co., Ltd. Class H	6881	10%	500
China International Capital Corp. Ltd. Class H	3908	10%	400
China Life Insurance Co. Ltd. Class H	2628	10%	1,000
China Longyuan Power Group Corporation Ltd Class H	916	10%	1,000
China Merchants Bank Co., Ltd. Class H	3968	10%	500
China Merchants Securities Co., Ltd. Class H	6099	10%	200
China Minsheng Banking Corp., Ltd. Class H	1988	10%	500
China Mobile Limited	941	10%	500
China Overseas Land & Investment Limited	688	10%	500
China Pacific Insurance (Group) Co., Ltd. Class H	2601	10%	200
China Petroleum & Chemical Corporation Class H	386	10%	2,000
China Railway Construction Corporation Limited Class H	1186	10%	500
China Railway Group Limited Class H	390	10%	1,000
China Resources Land Limited	1109	10%	500
China Shenhua Energy Co. Ltd. Class H	1088	10%	500
China Southern Airlines Company Limited Class H	1055	10%	2,000
China Telecom Corp. Ltd. Class H	728	10%	2,000
China Tourism Group Duty Free Corporation Limited Class H	1880	10%	100

Security Name	Ticker	Super investment limit	Trading Lot Sizes
China Tower Corp. Ltd. Class H	788	10%	2,000
China Unicom (Hong Kong) Limited	762	10%	2,000
China Vanke Co., Ltd Class H	2202	10%	100
Chow Tai Fook Jewellery Group Limited	1929	10%	200
CITIC Limited	267	10%	1,000
CITIC Securities Co. Ltd. Class H	6030	10%	500
CK Asset Holdings Limited	1113	10%	500
CK Hutchison Holdings Ltd	1	10%	500
CK Infrastructure Holdings Limited	1038	10%	500
CLP Holdings Limited	2	10%	500
CMOC Group Limited Class H	3993	10%	3,000
CNOOC Limited	883	10%	1,000
COSCO SHIPPING Holdings Co., Ltd. Class H	1919	10%	500
CRRC Corporation Limited Class H	1766	10%	1,000
CSC Financial Co., Ltd. Class H	6066	10%	500
Fuyao Glass Industry Group Co., Ltd. Class H	3606	10%	400
Galaxy Entertainment Group Limited	27	10%	1,000
GF Securities Co., Ltd. Class H	1776	10%	200
Great Wall Motor Co., Ltd. Class H	2333	10%	500
Guotai Junan Securities Co., Ltd. Class H	2611	10%	200
Haier Smart Home Co., Ltd. Class H	6690	10%	200
Haitong Securities Co., Ltd. Class H	6837	10%	400
Hang Seng Bank, Limited	11	10%	100
Henderson Land Development Co. Ltd.	12	10%	1,000
Hong Kong & China Gas Co. Ltd.	3	10%	1,000
Hong Kong Exchanges & Clearing Ltd.	388	10%	100
Huaneng Power International, Inc. Class H	902	10%	2,000
Huatai Securities Co., Ltd. Class H	6886	10%	200
Industrial and Commercial Bank of China Limited Class H	1398	10%	1,000
J&T Global Express Limited	1519	10%	200
JD.com, Inc. Class A	9618	10%	50
KE Holdings, Inc. Class A	2423	10%	100
Kuaishou Technology Class B	1024	10%	100
Lenovo Group Limited	992	10%	2,000
Li Auto, Inc. Class A	2015	10%	100
Link Real Estate Investment Trust	823	10%	100
Meituan Class B	3690	10%	100
MTR Corporation Limited	66	10%	500
Netease Inc	9999	10%	100

Security Name	Ticker	Super investment limit	Trading Lot Sizes
Nongfu Spring Co., Ltd. Class H	9633	10%	200
People's Insurance Co. (Group) of China Ltd. Class H	1339	10%	1,000
PetroChina Company Limited Class H	857	10%	2,000
PICC Property & Casualty Co., Ltd. Class H	2328	10%	2,000
Ping An Insurance (Group) Company of China, Ltd. Class H	2318	10%	500
Postal Savings Bank of China Co., Ltd. Class H	1658	10%	1,000
Prada S.p.A.	1913	10%	100
Sands China Ltd.	1928	10%	400
Semiconductor Manufacturing International Corp.	981	10%	500
Shenwan Hongyuan Group Co., Ltd. Class H	6806	10%	800
Shenzhou International Group Holdings Limited	2313	10%	100
Sun Hung Kai Properties Limited	16	10%	500
Techtronic Industries Co., Ltd.	669	10%	500
Tencent Holdings Ltd.	700	10%	100
Tencent Music Entertainment Group Class A	1698	10%	100
Trip.com Group Ltd.	9961	10%	50
Weichai Power Co., Ltd. Class H	2338	10%	1,000
WuXi AppTec Co., Ltd. Class H	2359	10%	100
Wuxi Biologics (Cayman) Inc.	2269	10%	500
Xiaomi Corp. Class B	1810	10%	200
Yankuang Energy Group Company Limited Class H	1171	10%	2,000
Zijin Mining Group Co., Ltd. Class H	2899	10%	2,000
ZTE Corporation Class H	763	10%	200
ZTO Express (Cayman), Inc. Class A	2057	10%	50