

# Approved international securities - USA New York Stock Exchange

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## Costs of trading on the New York Stock Exchange

You may incur additional costs (for example local stamp duties or commissions) for trades on certain international exchanges. These costs will be deducted from your account. The costs for trading on the New York Stock Exchange are outlined in the table below. These are in addition to the fees and costs of Netwealth account.

Stock exchange	Location	Additional costs
NYSE	USA	Securities & Exchange Commission (SEC) fee 0.0008% (sell only)

These costs known to Netwealth as at 05th February 2024. This Additional Costs table is for general information only - other costs may apply, and the costs in this table may change. While Netwealth has taken care in preparing this table, you should seek your own advice regarding the costs of an international securities trade before placing the instruction. You will be responsible for all applicable transaction costs, regardless of whether they are included in the table.

## Important information

1. Investment in the international securities listed below is subject to the terms and conditions of Netwealth's international securities offering, within the Netwealth Wrap Service or Superannuation Master Fund, details of which are located in the current disclosure documents available online at [www.netwealth.com.au](http://www.netwealth.com.au) or from Netwealth or your adviser. Additional information about trading international securities is also available on our website.
2. The below list of international securities may be reviewed periodically by us. We reserve the right, at any time and in our absolute discretion, to add to or withdraw approval of any international stock exchange or of any of the international securities listed above.
3. The Super investment limits in the below list are applicable to investments made within the Netwealth Superannuation Master Fund. They do not apply to investments made within the Netwealth Wrap Service. The limit is set as the proportion of your portfolio that we will permit to be invested in each security. These limits may be applied by us when you join and first invest and when you make additional investments. We may also review your investments in relation to these limits from time to time. Where we identify that the investments held in your account are outside any of these limits, we may advise you of this and request that you review and update the investments held in your account.
4. We do not recommend, or guarantee the performance or suitability of, any of the listed international securities

## Approved Securities

Security Name	Ticker	Super investment limit
3M Company	MMM	10%
Abbott Laboratories	ABT	10%
AbbVie, Inc.	ABBV	10%
Accenture Plc Class A	ACN	10%
Air Products and Chemicals, Inc.	APD	10%
Altria Group, Inc.	MO	10%
American Express Company	AXP	10%
American Tower Corporation	AMT	10%
Amphenol Corporation Class A	APH	10%
Aon Plc Class A	AON	10%
Arista Networks, Inc.	ANET	10%
AT&T Inc.	T	10%
Bank of America Corp	BAC	10%
Becton, Dickinson and Company	BDX	10%
Berkshire Hathaway Inc. Class B	BRK.B	10%
BlackRock, Inc.	BLK	10%
Blackstone Inc.	BX	10%
Boeing Company	BA	10%
Boston Scientific Corporation	BSX	10%
Bristol-Myers Squibb Company	BMJ	10%
Caterpillar Inc.	CAT	10%
Charles Schwab Corp	SCHW	10%
Chevron Corporation	CVX	10%
Chipotle Mexican Grill, Inc.	CMG	10%
Chubb Limited	CB	10%
Cigna Group	CI	10%
Citigroup Inc.	C	10%
Coca-Cola Company	KO	10%
Colgate-Palmolive Company	CL	10%
ConocoPhillips	COP	10%
CVS Health Corporation	CVS	10%
Danaher Corporation	DHR	10%
Deere & Company	DE	10%
Duke Energy Corporation	DUK	10%
Eaton Corp. Plc	ETN	10%
Elevance Health, Inc.	ELV	10%
Eli Lilly and Company	LLY	10%
EOG Resources, Inc.	EOG	10%
Exxon Mobil Corporation	XOM	10%
FedEx Corporation	FDX	10%
Fiserv, Inc.	FI	10%

Security Name	Ticker	Super investment limit
General Dynamics Corporation	GD	10%
General Electric Company	GE	10%
Goldman Sachs Group, Inc.	GS	10%
HCA Healthcare Inc	HCA	10%
Home Depot, Inc.	HD	10%
Illinois Tool Works Inc.	ITW	10%
Intercontinental Exchange, Inc.	ICE	10%
International Business Machines Corporation	IBM	10%
Johnson & Johnson	JNJ	10%
JPMorgan Chase & Co.	JPM	10%
KKR & Co Inc	KKR	10%
Lockheed Martin Corporation	LMT	10%
Lowe's Companies, Inc.	LOW	10%
Marathon Petroleum Corporation	MPC	10%
Marsh & McLennan Companies, Inc.	MMC	10%
Mastercard Incorporated Class A	MA	10%
McDonald's Corporation	MCD	10%
McKesson Corporation	MCK	10%
Medtronic Plc	MDT	10%
Merck & Co., Inc.	MRK	10%
Moody's Corporation	MCO	10%
Morgan Stanley	MS	10%
NextEra Energy, Inc.	NEE	10%
NIKE, Inc. Class B	NKE	10%
Northrop Grumman Corp.	NOC	10%
Oracle Corporation	ORCL	10%
Parker-Hannifin Corporation	PH	10%
Pfizer Inc.	PFE	10%
Philip Morris International Inc.	PM	10%
Phillips 66	PSX	10%
PNC Financial Services Group, Inc.	PNC	10%
Procter & Gamble Company	PG	10%
Progressive Corporation	PGR	10%
Prologis, Inc.	PLD	10%
RTX Corp.	RTX	10%
S&P Global, Inc.	SPGI	10%
Salesforce, Inc.	CRM	10%
Schlumberger N.V.	SLB	10%
ServiceNow, Inc.	NOW	10%
Sherwin-Williams Company	SHW	10%
Snowflake, Inc. Class A	SNOW	10%
Southern Company	SO	10%
Southern Copper Corporation	SCCO	10%
Stryker Corporation	SYK	10%
Target Corporation	TGT	10%

Security Name	Ticker	Super investment limit
Thermo Fisher Scientific Inc.	TMO	10%
TJX Companies Inc	TJX	10%
U.S. Bancorp	USB	10%
Uber Technologies, Inc.	UBER	10%
Union Pacific Corporation	UNP	10%
United Parcel Service, Inc. Class B	UPS	10%
UnitedHealth Group Incorporated	UNH	10%
Verizon Communications Inc.	VZ	10%
Visa Inc. Class A	V	10%
Walmart Inc.	WMT	10%
Walt Disney Company	DIS	10%
Waste Management, Inc.	WM	10%
Wells Fargo & Company	WFC	10%
Zoetis, Inc. Class A	ZTS	10%